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Quark mixings and flavor changing interactions with singlet quarks

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Aspects of the quark mixings and flavor changing interactions are investigated in electroweak models with singlet quarks. The effects on the ordinary quark mixing are determined in terms of the quark masses and the parameters describing the mixing between the ordinary quarks q and the singlet quarks Q (q - Q mixing). Some salient features arise in the flavor changing interactions through q - Q mixing. The unitarity of the Cabibbo-Kobayashi-Maskawa (CKM) matrix within the ordinary quark sector is violated, and the flavor changing neutral currents (FCNC's) appear both in the gauge and scalar couplings. The flavor changing interactions are calculated appropriately in terms of the q - Q mixing parameters and the quark masses, which really exhibit specific flavor structures. It is found that there are reasonable ranges of the model parameters to reproduce the ordinary quark mass hierarchy and the actual CKM structure even in the presence of q - Q mixing. Some phenomenological effects of the singlet quarks are also discussed. In particular, the scalar FCNC's may be more important in some cases, if the singlet quarks as well as the extra scalar particles from the singlet Higgs fields have masses ~ 100 GeV–1 TeV.

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I. INTRODUCTION

Extensions of the standard model may be motivated in various points of view toward the discovery of new physics. Among many intriguing possibilities, the presence of isosinglet quarks is suggested in certain models such as E_6 type unified models [1]. Specifically, there are two types of singlet quarks, U with electric charge $Q_{em}=2/3$ and D with $Q_{em}=-1/3$, which may mix with the ordinary quarks. Then, various novel features arise through the mixing between the ordinary quarks ($q=u,d$) and the singlet quarks ($Q=U,D$). The unitarity of the Cabibbo-Kobayashi-Maskawa (CKM) matrix within the ordinary quark sector is violated, and the flavor changing neutral currents (FCNC's) appear at the tree-level [2–7]. These flavor changing interactions are actually described in terms of the q - Q mixing parameters and the quark masses, as seen in detail in the text. This may be viewed as an interesting extension of the natural flavor conservation proposed in the early literature [8]. Furthermore, the q - Q mixing may involve CP violating phases. Hence, it is highly expected that the q - Q mixing provides significant effects on various physical processes. It is also noted that the so-called seesaw mechanism even works for generating the ordinary quark masses through q - Q mixing [9–11].

The q - Q mixing effects on the Z boson mediated neutral currents have been investigated so far extensively in the literature [3,5]. These analyses show, in particular, that there is a good chance to find singlet quark effects in B physics. Some contributions of the neutral couplings mediated by the Higgs scalar particles have also been considered on the neutron electric dipole moment and neutral meson mixings [4,6,10].

The singlet quarks may even provide important contributions in cosmology. In fact, for the electroweak baryogenesis [12] the CP violating q - Q mixing through the coupling with a complex singlet Higgs field S can be efficient to generate the chiral charge fluxes through the bubble wall [13,14]. This

possibility is encouraging, since the CP asymmetry induced by the conventional CKM phase is far too small to account for the observed baryon to entropy ratio. Furthermore, the singlet Higgs field S providing the singlet quark mass term and the q - Q mixing term is preferable for realizing a strong enough first order electroweak phase transition [14].

As mentioned so far, the singlet quarks may bring various intriguing features in particle physics and cosmology. Then, it is worth understanding in detail the characteristic properties of the electroweak models incorporating the singlet quarks. Specifically, it is important to show how the ordinary quark masses and mixings are affected by the q - Q mixing. The structures of the CKM mixing and FCNC's should also be clarified properly. In this article, we present systematic and comprehensive descriptions of the quark masses, mixings and flavor changing interactions in the presence of singlet quarks. In Sec. II, a representative model with singlet quarks is presented. In Sec. III, the quark masses and mixings, which are affected by the q - Q mixing, are calculated in detail. Then, the q - Q mixing effects on the gauge and scalar interactions are examined in Sec. IV. They are described appropriately in terms of the q - Q mixing parameters and the quark masses. In Sec. V, numerical calculations are performed to confirm the flavor structures of the q - Q mixing effects. Section VI is devoted to the summary and some discussion on the phenomenological effects provided by the singlet quarks. The technical details in diagonalizing the quark mass matrix are presented in Appendix A.

II. ELECTROWEAK MODEL WITH SINGLET QUARKS

We first describe a representative electroweak model based on the gauge symmetry $SU(3)_C \times SU(2)_W \times U(1)_Y$, where both types of singlet quarks U and D and also one complex singlet Higgs field S are incorporated. The generic Yukawa couplings of quarks are given by

$$\begin{aligned}\mathcal{L}_Y = & -u_0^c \lambda_u \Psi_{q_0} \Phi_H - U_0^c h_u \Psi_{q_0} \Phi_H - u_0^c (f_U S + f'_U S^\dagger) U_0 \\ & - U_0^c (\lambda_U S + \lambda'_U S^\dagger) U_0 - d_0^c \lambda_d V_0^\dagger \Psi_{q_0} \tilde{\Phi}_H \\ & - D_0^c h_d V_0^\dagger \Psi_{q_0} \tilde{\Phi}_H - d_0^c (f_D S + f'_D S^\dagger) D_0 \\ & - D_0^c (\lambda_D S + \lambda'_D S^\dagger) D_0 + \text{H.c.}\end{aligned}\quad (2.1)$$

in terms of the two-component Weyl fields for the weak eigenstates with subscript “0.” (The generation indices and the Lorentz factors are omitted here for simplicity.) The isodoublets of left-handed ordinary quarks are represented by

$$\Psi_{q_0} = \begin{pmatrix} u_0 \\ V_0 d_0 \end{pmatrix} \quad (2.2)$$

with a certain 3×3 unitary matrix V_0 , and $V_0^\dagger \Psi_{q_0} \equiv (V_0^\dagger u_0, d_0)^\text{T}$. The Higgs doublet is also given by

$$\Phi_H = \begin{pmatrix} H^+ \\ H^0 \end{pmatrix} \quad (2.3)$$

with $\tilde{\Phi}_H \equiv i\tau_2 \Phi_H^*$.

The Higgs fields develop vacuum expectation values (VEV's):

$$\langle H^0 \rangle = \frac{v}{\sqrt{2}}, \quad \langle S \rangle = \frac{v_S}{\sqrt{2}} e^{i\phi_S}. \quad (2.4)$$

Here a complex phase ϕ_S is included in $\langle S \rangle$, which may be induced by the CP violation in the Higgs sector either spontaneous or explicit. The quark mass matrix is then produced with these VEV's as

$$\mathcal{M}_Q = \begin{pmatrix} M_q & \Delta_{qQ} \\ \Delta'_{qQ} & M_Q \end{pmatrix}, \quad (2.5)$$

where the submatrices are given by

$$M_q = \frac{1}{\sqrt{2}} \lambda_q v, \quad (2.6)$$

$$\Delta_{qQ} = \frac{1}{\sqrt{2}} (f_Q e^{i\phi_S} + f'_Q e^{-i\phi_S}) v_S, \quad (2.7)$$

$$\Delta'_{qQ} = \frac{1}{\sqrt{2}} h_q v, \quad (2.8)$$

$$M_Q = \frac{1}{\sqrt{2}} (\lambda_Q e^{i\phi_S} + \lambda'_Q e^{-i\phi_S}) v_S. \quad (2.9)$$

Hereafter, the quarks with the same electric charge are collectively denoted by $\mathcal{Q} = (q, Q)$, i.e., $\mathcal{U} = (u, U)$ and $\mathcal{D} = (d, D)$. The dimensions of \mathcal{M}_Q and its submatrices are specified with the three generations of ordinary quarks and the number N_Q of singlet quarks.

Some remarks may be presented concerning possible variants of the model. It is straightforward to describe the models admitting only either U or D quarks. The complex singlet Higgs field S is employed in the present model to generate the singlet quark mass term and q - Q mixing term. This choice will be motivated, in particular, for the electroweak baryogenesis. The CP violating q - Q mixing provided by the space-dependent complex Higgs field S can be efficient for generating the chiral charge fluxes through the bubble wall [13,14]. Alternative options, however, may be considered about the Higgs singlet S , including the following cases. (i) The Higgs singlet S is a real scalar field rather than complex one. (ii) The Higgs singlet S is eliminated (or it has a mass much larger than the electroweak scale) while leaving its contributions to the quark mass matrix. (iii) The models with the complex Higgs singlet S may be extended by incorporating supersymmetry. The following investigations are made in detail for the model given in Eq. (2.1) with one complex S . The results can be extended readily for these variants of the model, as will be mentioned occasionally.

III. QUARK MASSES AND MIXINGS WITH SINGLET QUARKS

We present in this section the detailed description of the quark masses and mixings which are affected by the q - Q mixing. It is desirable that even in the presence of singlet quarks the ordinary quark mass hierarchy and the CKM structure are reproduced in some reasonable regions of the model parameter space. This issue will be addressed in the following by inspecting systematically the form of quark mass matrix \mathcal{M}_Q and its diagonalization.

The total quark mass matrix is diagonalized as usual by unitary transformations \mathcal{V}_{Q_L} and \mathcal{V}_{Q_R} :

$$\mathcal{V}_{Q_R}^\dagger \mathcal{M}_Q \mathcal{V}_{Q_L} = \begin{pmatrix} \bar{M}_q & \mathbf{0} \\ \mathbf{0} & \bar{M}_Q \end{pmatrix}, \quad (3.1)$$

where

$$\bar{M}_q = \text{diag}(m_{q_1}, m_{q_2}, m_{q_3}), \quad (3.2)$$

$$\bar{M}_Q = \text{diag}(m_{Q_1}, \dots), \quad (3.3)$$

and $(q_1, q_2, q_3) = (u, c, t)$ or (d, s, b) . The quark mass eigenstates are given by

$$\begin{pmatrix} q \\ Q \end{pmatrix} = \mathcal{V}_{Q_L}^\dagger \begin{pmatrix} q_0 \\ Q_0 \end{pmatrix}, \quad (3.4)$$

$$(q^c, Q^c) = (q_0^c, Q_0^c) \mathcal{V}_{Q_R}. \quad (3.5)$$

The relevant $(3 + N_Q) \times (3 + N_Q)$ unitary matrices are given by

$$\mathcal{V}_{Q_X} = \begin{pmatrix} V_{q_X} & \epsilon_{q_X} \\ -\epsilon_{q_X}^{\prime\dagger} & V_{Q_X} \end{pmatrix} \quad (3.6)$$

for the respective chirality sectors $\chi=L,R$. The $3 \times N_Q$ matrices ϵ_{q_χ} and ϵ'_{q_χ} represent the q - Q mixing.

The leading order calculations on the quark masses and mixings with singlet quarks are given for $N_Q=1$ in the literature [2]. Here, we would rather like to present comprehensive understanding of the q - Q mixing effects which are even valid beyond the leading orders for more general cases including several singlet quarks ($N_Q \geq 1$).

A. Choices of the quark basis

We first note that the quark mass matrix \mathcal{M}_Q may be reduced to a specific form with either $\Delta_{qQ}=\mathbf{0}$ or $\Delta'_{qQ}=\mathbf{0}$ by a unitary transformation of the right-handed quarks, which are undistinguishable by means of the $SU(3)_C \times SU(2)_W \times U(1)_Y$. Then, the Yukawa coupling λ_q can be made diagonal and non-negative by unitary transformations of the ordinary quarks:

$$\lambda_q = \text{diag}(\lambda_{q_1}, \lambda_{q_2}, \lambda_{q_3}). \quad (3.7)$$

The other couplings f_Q , f'_Q , and h_q involving the ordinary quarks as well as the mixing matrix V_0 are redefined accordingly. The condition $\Delta_{qQ}=\mathbf{0}$ or $\Delta'_{qQ}=\mathbf{0}$ is, however, maintained by these transformations of the ordinary quarks. In this basis, by turning off the q - Q mixing with $f_Q, f'_Q, h_q \rightarrow 0$, the quark fields u_0 and d_0 are reduced to the mass eigenstates, and V_0 is identified with the CKM matrix. The actual CKM matrix V is slightly modified from V_0 by the q - Q mixing, as shown explicitly later.

It should be noticed that the quark transformations made so far to specify the form of \mathcal{M}_Q do not mix the electroweak doublets with the singlets, respecting the $SU(3)_C \times SU(2)_W \times U(1)_Y$ gauge symmetry. Hence, without loss of generality we may have two appropriate choices of the quark basis for the electroweak eigenstates

$$\text{basis (a): } \Delta'_{qQ}=\mathbf{0}, \quad (3.8)$$

$$\text{basis (b): } \Delta_{qQ}=\mathbf{0}. \quad (3.9)$$

In either case, with the diagonal λ_q coupling we have the submatrix of \mathcal{M}_Q ,

$$M_q = \text{diag}(m_{q_1}^0, m_{q_2}^0, m_{q_3}^0), \quad (3.10)$$

with

$$m_{q_i}^0 = \lambda_{q_i} v / \sqrt{2}. \quad (3.11)$$

As seen from Eq. (2.8), the basis (a) is chosen naturally by eliminating the h_q coupling with a unitary transformation of the right-handed quarks:

$$h_q = \mathbf{0} \rightarrow \Delta'_{qQ} = \mathbf{0}. \quad (3.12)$$

This choice is in fact made irrespectively of the specific values of the VEV's. On the other hand, the condition,

$$f_Q e^{i\phi_S} + f'_Q e^{-i\phi_S} = \mathbf{0} \rightarrow \Delta_{qQ} = \mathbf{0}, \quad (3.13)$$

for the basis (b) seems to require some tuning between f_Q and f'_Q , which depends on the phase ϕ_S of $\langle S \rangle$. This tuning may, however, be evaded in some cases including one real S , no S and one supersymmetric S models. In these models, the f'_Q coupling is absent, and then the Δ_{qQ} term is rotated out naturally together with the f_Q coupling.

The condition $M_q = \mathbf{0}$ may even be realized by means of the symmetries and matter contents so as to distinguish the ordinary quarks from the singlet quarks. This is in fact the case in some left-right gauge models. Then, the so-called seesaw mechanism is available for generating the ordinary quark masses with three singlet quarks [9–11]:

$$\text{seesaw: } M_q = \mathbf{0} \quad (N_Q = 3). \quad (3.14)$$

The seesaw case may formally be reduced to the basis (a) by the exchange $q^c \leftrightarrow Q^c$ of the right-handed quarks. It will, however, be appropriate to treat separately the seesaw case in its own right.

In the following, we examine accurately for the respective bases how the quark masses and mixings are affected by the q - Q mixing. The technical details in diagonalizing \mathcal{M}_Q are presented in the Appendix.

B. Basis (a) with $\Delta'_{qQ}=\mathbf{0}$

In the basis (a) with $\Delta'_{qQ}=\mathbf{0}$, the quark mass matrix is given by

$$\mathcal{M}_Q = \begin{pmatrix} M_q & \Delta_{qQ} \\ \mathbf{0} & M_Q \end{pmatrix}. \quad (3.15)$$

Then, it is relevant to introduce the q - Q mixing parameters

$$\epsilon_i^f = \max[|(\Delta_{qQ})_{ia}|/m_Q] \sim (v_S/m_Q)(|(f_Q)_{ia}| + |(f'_Q)_{ia}|), \quad (3.16)$$

each of which represents the magnitude of the mixing between the i -th ordinary quark and the singlet quarks. Here, it is assumed that the singlet quarks have masses $\sim m_Q$ of the same order.

The ordinary quark masses are obtained as

$$m_{q_i} = c_i(\epsilon^f) \lambda_{q_i} v, \quad (3.17)$$

where $c_i(\epsilon^f) \sim 1$ depending on $\epsilon^f \equiv (\epsilon_1^f, \epsilon_2^f, \epsilon_3^f)$. (See the Appendix for the detailed arguments.) The q - Q mixing and the ordinary quark mixing are estimated in magnitude as

$$(\epsilon_{q_L})_{ia} \sim (\epsilon'_{q_L})_{ia} \sim (m_{q_i}/m_Q) \epsilon_i^f, \quad (3.18)$$

$$(\epsilon_{q_R})_{ia} \sim (\epsilon'_{q_R})_{ia} \sim \epsilon_i^f, \quad (3.19)$$

$$(V_{q_L})_{ij} \sim \delta_{ij} + \frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^f \epsilon_j^f, \quad (3.20)$$

$$(V_{q_R})_{ij} \sim \delta_{ij} + \frac{m_{q_j}^2}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^f \epsilon_j^f, \quad (3.21)$$

where

$$\frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2} \approx \begin{cases} m_{q_i}/m_{q_j} & (m_{q_i} \ll m_{q_j}), \\ m_{q_j}/m_{q_i} & (m_{q_i} \gg m_{q_j}), \end{cases} \quad (3.22)$$

$$\frac{m_{q_j}^2}{m_{q_i}^2 + m_{q_j}^2} \approx \begin{cases} 1 & (m_{q_i} \ll m_{q_j}), \\ (m_{q_j}/m_{q_i})^2 & (m_{q_i} \gg m_{q_j}). \end{cases} \quad (3.23)$$

The corrections to the diagonal components of V_{q_χ} are estimated precisely in terms of the q - Q mixing matrices by noting the unitarity relations for the whole transformation matrices V_{Q_χ} given in Eq. (3.6):

$$V_{q_\chi}^\dagger V_{q_\chi} = \mathbf{1} - \epsilon'_{q_\chi} \epsilon'^{\dagger}_{q_\chi}, \quad (3.24)$$

$$V_{q_\chi} V_{q_\chi}^\dagger = \mathbf{1} - \epsilon_{q_\chi} \epsilon_{q_\chi}^\dagger. \quad (3.25)$$

We have really observed in Eq. (3.17) that the hierarchical masses m_{q_i} of the ordinary quarks are reproduced by the corresponding Yukawa couplings λ_{q_i} even in the presence of the q - Q mixing. The q - Q mixing effects are described in terms of the parameters ϵ_i^f in the basis (a) with $\Delta'_{qQ} = \mathbf{0}$. It should particularly be noted in Eq. (3.18) that the left-handed q - Q mixing is suppressed further by the q/Q mass ratios m_{q_i}/m_Q . The ordinary quark mixings $(V_{q_\chi})_{ij}$ ($i \neq j$) arising at the order of $\epsilon_i^f \epsilon_j^f$ are actually related to the ordinary quark mass ratios in Eqs. (3.20) and (3.21). The unitarity violation of V_{q_χ} is determined by the q - Q mixing matrices ϵ_{q_χ} and ϵ'_{q_χ} in Eqs. (3.24) and (3.25). These features of the q - Q mixing effects, as seen more explicitly in the leading order calculations [2], even hold for $N_Q \geq 1$ beyond the leading orders.

C. Basis (b) with $\Delta_{qQ} = \mathbf{0}$

In the basis (b) with $\Delta_{qQ} = \mathbf{0}$, the quark mass matrix is given by

$$\mathcal{M}_Q = \begin{pmatrix} M_q & \mathbf{0} \\ \Delta'_{qQ} & M_Q \end{pmatrix}. \quad (3.26)$$

Then, the q - Q mixing parameters are taken as

$$\begin{aligned} \epsilon_i^h &= \max[|(\Delta'_{qQ})_{ai}|/m_Q] \\ &\sim (v/m_Q)|(h_q)_{ai}|. \end{aligned} \quad (3.27)$$

The ordinary quark masses are obtained as

$$m_{q_i} = c_i'(\epsilon^h) \lambda_{q_i} v, \quad (3.28)$$

where $c_i'(\epsilon^h) \sim 1$ depending on $\epsilon^h \equiv (\epsilon_1^h, \epsilon_2^h, \epsilon_3^h)$. The q - Q mixing effects are estimated as

$$(\epsilon_{q_L})_{ia} \sim (\epsilon'_{q_L})_{ia} \sim \epsilon_i^h, \quad (3.29)$$

$$(\epsilon_{q_R})_{ia} \sim (\epsilon'_{q_R})_{ia} \sim (m_{q_i}/m_Q) \epsilon_i^h, \quad (3.30)$$

$$(V_{q_L})_{ij} \sim \delta_{ij} + \frac{m_{q_j}^2}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^h \epsilon_j^h, \quad (3.31)$$

$$(V_{q_R})_{ij} \sim \delta_{ij} + \frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^h \epsilon_j^h. \quad (3.32)$$

Here, the left-handed q - Q mixing in Eq. (3.29) is no longer suppressed by the q/Q mass ratios in contrast to Eq. (3.18) for the basis (a). Then, as described explicitly in the next section, the CKM unitarity violation and the Z mediated FCNC's can be significant for reasonable values of the q - Q mixing parameters ϵ_i^h [3,5].

We find again in Eq. (3.28) that the actual masses of the ordinary quarks are reproduced in terms of the relevant Yukawa couplings. It should, however, be mentioned that this relation might not be stable generally. In fact, the quark basis (b) is found by eliminating the $q^c Q$ term $\Delta_{qQ} = f_Q \langle S \rangle + f'_Q \langle S \rangle^*$. This seems to be a fine-tuning between the couplings f_Q and f'_Q with a given $\langle S \rangle$. If the complex phase of $\langle S \rangle$ is changed by radiative corrections, the quark basis (b) is rearranged so as to keep the condition $\Delta_{qQ} = \mathbf{0}$. Accordingly, the new diagonal coupling $\tilde{\lambda}_q$ is obtained as a mixture of λ_q and h_q . Then, even if the original couplings λ_{q_i} are chosen so as to reproduce the hierarchical quark masses $m_{q_i} \sim \lambda_{q_i} v$, there is in general no guarantee that the new couplings $\tilde{\lambda}_{q_i}$ also take the similar values. This problem encountered in the basis (b) may, however, be evaded reasonably in certain cases. For example, in the models without the f'_Q coupling, the f_Q coupling is eliminated from the beginning by using the right-handed quark transformation. It is also considered that the λ_q and h_q couplings have the same flavor structure as $(h_q)_{ai} \sim \lambda_{q_i} \sim m_{q_i}/v$. This relation between λ_q and h_q is in fact technically natural, and the new $\tilde{\lambda}_q$ also has the same hierarchical flavor structure.

D. Seesaw model

The quark mass matrix of the seesaw form ($N_Q = 3$) [9–11] is given by

$$\mathcal{M}_Q = \begin{pmatrix} \mathbf{0} & \Delta_{qQ} \\ \Delta'_{qQ} & M_Q \end{pmatrix}. \quad (3.33)$$

We first consider the case where the singlet quarks have comparable masses:

$$m_{Q_1}, \quad m_{Q_2}, \quad m_{Q_3} \sim m_Q. \quad (3.34)$$

Then, the ordinary quark masses are given by

$$m_{q_i} = c_i''(\epsilon^f, \epsilon^h) \epsilon_i^f \epsilon_i^h m_Q, \quad (3.35)$$

where $c_i''(\epsilon^f, \epsilon^h) \sim 1$. The q - Q mixing effects are estimated as

$$(\epsilon_{q_L})_{ia} \sim (\epsilon'_{q_L})_{ia} \sim \epsilon_i^h, \quad (3.36)$$

$$(\epsilon_{q_R})_{ia} \sim (\epsilon'_{q_R})_{ia} \sim \epsilon_i^f, \quad (3.37)$$

$$(V_{q_L})_{ij} \sim \delta_{ij} + \frac{\epsilon_i^h \epsilon_j^h}{(\epsilon_i^h)^2 + (\epsilon_j^h)^2}, \quad (3.38)$$

$$(V_{q_R})_{ij} \sim \delta_{ij} + \frac{\epsilon_i^f \epsilon_j^f}{(\epsilon_i^f)^2 + (\epsilon_j^f)^2}. \quad (3.39)$$

The actual masses of the ordinary quarks may be reproduced in Eq. (3.35) under the hierarchy for the q - Q mixing terms

$$|(\Delta_{qQ})_{1a}| \ll |(\Delta_{qQ})_{2b}| \ll |(\Delta_{qQ})_{3c}| \quad (3.40)$$

and/or

$$|(\Delta'_{qQ})_{a1}| \ll |(\Delta'_{qQ})_{b2}| \ll |(\Delta'_{qQ})_{c3}|. \quad (3.41)$$

Then, by considering some typical cases, the quark mixings provided by the singlet quarks may be related to the quark masses with Eq. (3.35):

$$(i): \quad \epsilon_i^f \sim \epsilon_i^h \sim \sqrt{m_{q_i}/m_Q},$$

$$(V_{q_L})_{ij} \sim (V_{q_R})_{ij} \sim \frac{\sqrt{m_{q_i} m_{q_j}}}{m_{q_i} + m_{q_j}}, \quad (3.42)$$

$$(ii): \quad \epsilon_i^f \sim m_{q_i}/\bar{h}v, \quad \epsilon_i^h \sim \bar{h}v/m_Q,$$

$$(V_{q_L})_{ij} \sim 1, \quad (V_{q_R})_{ij} \sim \frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2}, \quad (3.43)$$

$$(iii): \quad \epsilon_i^f \sim \bar{f}v_S/m_Q, \quad \epsilon_i^h \sim m_{q_i}/\bar{f}v_S,$$

$$(V_{q_L})_{ij} \sim \frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2}, \quad (V_{q_R})_{ij} \sim 1. \quad (3.44)$$

In case (i) the q - Q mixing terms Δ_{qQ} and Δ'_{qQ} are assumed to have the same flavor structure. In case (ii) only the Δ_{qQ} term has the hierarchical form (3.40) while the Δ'_{qQ} term $\sim \bar{h}v$ is rather flavor-independent. On the other hand, in case (iii) only the Δ'_{qQ} term has the hierarchical form (3.41) while the Δ_{qQ} term $\sim \bar{f}v_S$ is rather flavor-independent.

While the above choice for the model parameters is technically natural, there is another attractive possibility for realizing the ordinary quark mass hierarchy [11]. That is, the inverted hierarchy is assumed for the singlet quark masses,

$$m_{Q_1} \gg m_{Q_2} \gg m_{Q_3}. \quad (3.45)$$

The specific relations such as Eqs. (3.40) and (3.41) are, however, not invoked on the q - Q mixing terms, i.e.,

$$(\Delta_{qQ})_{ia} \sim \bar{f}v_S, \quad (\Delta'_{qQ})_{ai} \sim \bar{h}v, \quad (3.46)$$

where \bar{f} and \bar{h} represent the mean values of the relevant Yukawa couplings. In this situation, the ordinary quark masses are given by

$$m_{q_i} = c_i'''(\bar{f}, \bar{h})(\bar{f}v_S/m_{Q_i})\bar{h}v, \quad (3.47)$$

where $c_i'''(\bar{f}, \bar{h}) \sim 1$ [11]. Accordingly, the quark mixings are obtained in terms of the ordinary quark masses as

$$(\tilde{V}_{q_L}^\dagger \epsilon_{q_L})_{ia} \sim (\epsilon'_{q_L})_{ia} \sim (m_{q_i}/\bar{f}v_S), \quad (3.48)$$

$$(\tilde{V}_{q_R}^\dagger \epsilon_{q_R})_{ia} \sim (\epsilon'_{q_R})_{ia} \sim (m_{q_i}/\bar{h}v), \quad (3.49)$$

$$(\tilde{V}_{q_L}^\dagger V_{q_L})_{ij} \sim \frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2}, \quad (3.50)$$

$$(\tilde{V}_{q_R}^\dagger V_{q_R})_{ij} \sim \frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2}. \quad (3.51)$$

Here, the unitary matrices $\tilde{V}_{q_L}^\dagger$ and $\tilde{V}_{q_R}^\dagger$ are introduced to deform the Δ_{qQ} and Δ'_{qQ} terms to the triangular forms as given in Eqs. (A67) and (A68).

It is indeed seen in Eq. (3.47) that the ordinary quark mass hierarchy is realized by the inverted hierarchy (3.45) of singlet quarks. These relations for the quark masses and mixings are essentially applicable even for the case $m_T^0 \ll \bar{f}v_S$ (m_T^0 is the third component of the diagonal M_Q), as mentioned in Ref. [11]. In this case, we obtain

$$m_t \sim \bar{h}v, \quad m_T \sim \bar{f}v_S \quad (3.52)$$

with the significant right-handed t - T mixing with $(\epsilon_{q_R})_{tT}, (\epsilon'_{q_R})_{tT} \simeq 1$.

E. Relations among the three bases

We have described so far the structures of the q - Q mixing effects on the quark masses and mixings. They are summarized in Table I. We here discuss the relations among these three representative bases.

The basis (a) with $\Delta'_{qQ} = \mathbf{0}$ is suitable as long as the Δ_{qQ} term does not exceed the M_Q term. In this case, the quark masses and mixings are described well in terms of $\epsilon_i^f \sim |(\Delta_{qQ})_{ia}|/m_Q \lesssim 1$. This treatment is, however, invalidated when $|\Delta_{qQ}| \gg |M_Q|$ in the basis (a). Then, we may seek a more appropriate quark basis by making some unitary transformations of the quarks. These transformations should respect the $SU(3)_C \times SU(2)_W \times U(1)_Y$, i.e., the components of the doublets are not mixed with the singlets in the left-handed quark sector before the quark mass terms are

TABLE I. The ordinary quark masses and mixings are listed for the representative bases, which are obtained in the presence of singlet quarks. For the seesaw model, [com] indicates the case of comparable singlet quark masses $m_{Q_1}, m_{Q_2}, m_{Q_3} \sim m_Q$, and [inv] the case of inverted hierarchy $m_{Q_1} \gg m_{Q_2} \gg m_{Q_3}$.

Quark mass matrix form \mathcal{M}_Q	Ordinary quark masses m_{q_i}	q - Q mixings		Ordinary quark mixings	
		[left-handed] $(\epsilon_{q_L})_{ia}, (\epsilon'_{q_L})_{ia}$	[right-handed] $(\epsilon_{q_R})_{ia}, (\epsilon'_{q_R})_{ia}$	[left-handed] $(V_{q_L})_{ij} (i \neq j)$	[right-handed] $(V_{q_R})_{ij} (i \neq j)$
basis (a) : $\Delta'_{qQ} = \mathbf{0}$	$\lambda_{q_i} v$	$\frac{m_{q_i}}{m_Q} \epsilon_i^f$	ϵ_i^f	$\frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^f \epsilon_j^f$	$\frac{m_{q_j}^2}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^f \epsilon_j^f$
basis (b) : $\Delta_{qQ} = \mathbf{0}$	$\lambda_{q_i} v$	ϵ_i^h	$\frac{m_{q_i}}{m_Q} \epsilon_i^h$	$\frac{m_{q_j}^2}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^h \epsilon_j^h$	$\frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^h \epsilon_j^h$
seesaw : $M_q = \mathbf{0}$ [com]	$\epsilon_i^f \epsilon_i^h m_Q$	ϵ_i^h	ϵ_i^f	$\frac{\epsilon_i^h \epsilon_j^h}{(\epsilon_i^h)^2 + (\epsilon_j^h)^2}$	$\frac{\epsilon_i^f \epsilon_j^f}{(\epsilon_i^f)^2 + (\epsilon_j^f)^2}$
	[inv] $\frac{\bar{f}v_S}{m_{Q_i}} \bar{h}v$	$\frac{m_{q_i}}{\bar{f}v_S}$	$\frac{m_{q_i}}{\bar{h}v}$	$\frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2}$	$\frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2}$

generated by the spontaneous breakdown of the $SU(2)_W \times U(1)_Y$.

In general, for any $N_Q \geq 1$ the quark mass matrix in the basis (a) can be deformed as follows by a unitary transformation $\tilde{V}_{Q_R}^{(1)}$ of the right-handed quarks:

$$\tilde{V}_{Q_R}^{(1)\dagger} \begin{pmatrix} M_q & \Delta_{qQ} \\ \mathbf{0} & M_Q \end{pmatrix} = \begin{pmatrix} \tilde{M}_q^{(1)} & \mathbf{0} \\ \tilde{\Delta}_{qQ}^{(1)'} & \tilde{M}_Q^{(1)} \end{pmatrix}. \quad (3.53)$$

The q - Q mixing in $\tilde{V}_{Q_R}^{(1)}$ is significant with $\tilde{\epsilon}_{q_R}^{(1)} \sim 1$ for the case of $|\Delta_{qQ}| \geq |M_Q|$. Here, we note the relation between $\tilde{M}_q^{(1)}$ and $\tilde{\Delta}_{qQ}^{(1)'}$ both of which are provided by the original M_q :

$$\tilde{\Delta}_{qQ}^{(1)'} = \tilde{\epsilon}_{q_R}^{(1)\dagger} \tilde{V}_{q_R}^{(1)\dagger -1} \tilde{M}_q^{(1)}. \quad (3.54)$$

The mass matrix $\tilde{M}_q^{(1)}$ is then diagonalized by the unitary transformations $\tilde{V}_{q_L}^{(2)}$ and $\tilde{V}_{q_R}^{(2)}$ of the ordinary quarks as

$$\begin{aligned} \tilde{M}_q &= \tilde{V}_{q_R}^{(2)\dagger} \tilde{M}_q^{(1)} \tilde{V}_{q_L}^{(2)} \\ &= \text{diag}(\tilde{m}_{q_1}^0, \tilde{m}_{q_2}^0, \tilde{m}_{q_3}^0). \end{aligned} \quad (3.55)$$

Accordingly, the $\tilde{\Delta}_{qQ}^{(1)'}$ term is deformed as

$$\tilde{\Delta}'_{qQ} = \tilde{\Delta}_{qQ}^{(1)'} \tilde{V}_{q_L}^{(2)} = \tilde{\epsilon}_{q_R}^{(1)\dagger} \tilde{V}_{q_R}^{(1)\dagger -1} \tilde{V}_{q_R}^{(2)} \tilde{M}_q. \quad (3.56)$$

In this way, starting with the quark mass matrix \mathcal{M}_Q in the basis (a) with $\Delta'_{qQ} = \mathbf{0}$, we have obtained the quark mass matrix $\tilde{\mathcal{M}}_Q$ in the basis (b) with $\tilde{\Delta}_{qQ} = \mathbf{0}$.

Here, it is noticed in Eq. (3.56) that the $\tilde{\Delta}'_{qQ}$ term is related to the ordinary quark mass matrix \tilde{M}_q as

$$(\tilde{\Delta}'_{qQ})_{ai} \sim (\tilde{M}_q)_{ii}. \quad (3.57)$$

This implies the relation of the Yukawa couplings

$$(\tilde{h}_q)_{ai} \sim \tilde{\lambda}_{q_i}. \quad (3.58)$$

Then, the quark masses are obtained as $m_{q_i} \sim \tilde{m}_{q_i}^0 = (\tilde{M}_q)_{ii}$, and the q - Q mixing parameters are specifically given by the q/Q mass ratios as

$$\tilde{\epsilon}_i^h \sim |(\tilde{\Delta}'_{qQ})_{ai}| / m_Q \sim m_{q_i} / m_Q. \quad (3.59)$$

By considering these arguments, the case of the basis (a) may be regarded as a special case of the basis (b). It is, in particular, interesting that the specific relation (3.58) for the Yukawa couplings, which may be invoked *ad hoc* in the basis (b), is obtained naturally starting from the basis (a).

We next consider the cases with $N_Q = 3$ including the seesaw model. The quark mass matrix in the basis (a) can be deformed to the seesaw form by the exchange $q^c \leftrightarrow Q^c$:

$$\begin{pmatrix} M_q & \Delta_{qQ} \\ \mathbf{0} & M_Q \end{pmatrix} \rightarrow \begin{pmatrix} \mathbf{0} & M_Q \\ M_q & \Delta_{qQ} \end{pmatrix}. \quad (3.60)$$

Then, if $|\Delta_{qQ}| \geq |M_Q|$, the relations for the q - Q mixing effects in the seesaw case can be applied. We have also seen that if $|\Delta_{qQ}| \geq |M_Q|$ in the basis (a), it is appropriate to transfer from the basis (a) to the basis (b). Hence, it is expected that the seesaw case is even related to the case of basis (b). In fact, the seesaw quark mass matrix can be deformed as

$$\begin{pmatrix} \mathbf{0} & \Delta_{qQ} \\ \Delta'_{qQ} & M_Q \end{pmatrix} \rightarrow \begin{pmatrix} \tilde{M}_q & \mathbf{0} \\ \tilde{\Delta}'_{qQ} & \tilde{M}_Q \end{pmatrix}. \quad (3.61)$$

Here, the Δ_{qQ} term is first eliminated by the right-handed quark transformation, and then the \tilde{M}_q term is made diagonal

by the ordinary quark transformation. The relation between $\tilde{\Delta}'_{qQ}$ and \tilde{M}_q , which is similar to Eq. (3.56), is obtained since they both stem from the Δ'_{qQ} term.

If we start from a quark basis with general form of \mathcal{M}_Q , the quark masses and mixings are apparently given as a mixture of the three representative cases. The quark masses are obtained as

$$m_{q_i} \sim \lambda_{q_i} v + \epsilon_i^f \epsilon_i^h m_Q.$$

Then, the hierarchy of the ordinary quark masses may be reproduced by the tuning between these two contributions or by relating the q - Q mixing parameters ϵ_i^f and/or ϵ_i^h to the corresponding Yukawa couplings λ_{q_i} . The latter choice seems to be technically natural. The q - Q mixing effects on the ordinary quark mixing are mainly described in terms of the ratios $\epsilon_i^f/\epsilon_j^f$ and $\epsilon_i^h/\epsilon_j^h$, as given in Eqs. (3.38) and (3.39) for the seesaw case. It should anyway be remarked that these q - Q mixing effects are reduced to those given in one of the three representative bases, if appropriate rearrangements are made for the quark fields and relevant Yukawa couplings.

To summarize, we may take complementarily the basis (a) with $\Delta'_{qQ} = \mathbf{0}$, the basis (b) with $\Delta_{qQ} = 0$ and the seesaw with $M_q = 0$. Some choice of the basis may appear to be more suitable than the others, depending on the parameter ranges and also the symmetries and matter contents. For example, the seesaw form mass matrix is obtained readily in the left-right gauge models [9–11]. The basis (b) will be suitable if $|\Delta_{qQ}| \gg |M_Q|$ in the basis (a). On the other hand, the *ad hoc* relation (3.58) in the basis (b) is realized naturally starting from the basis (a). In the model with complex singlet Higgs field S , the condition $\Delta_{qQ} = \mathbf{0}$ in the basis (b) might require a tuning between the f_Q and f'_Q couplings, as seen in Eq. (3.13), unless $f'_Q = \mathbf{0}$ is ensured by means of certain symmetry. In any case, by taking the appropriate quark basis we can find the reasonable regions of the model parameter space where the actual masses of the ordinary quarks are reproduced even in the presence of singlet quarks.

IV. FLAVOR CHANGING INTERACTIONS

In this section, we examine the flavor changing interactions of quarks which are affected by the q - Q mixing. Specifically, the CKM unitarity within the ordinary quark sector is violated, and the FCNC's arise both in the gauge and scalar couplings [2–7]. We would like to clarify the structures of these flavor changing interactions for the representative bases. In fact, they are described appropriately in terms of the q - Q mixing parameters and the quark masses. Hence, the present model with singlet quarks may provide an interesting extension of the notion of natural flavor conservation [8].

A. Charged currents

The charged gauge interaction coupled to the W boson is expressed in terms of the quark mass eigenstates as

$$\begin{aligned} \mathcal{L}_{CC}(W) &= g W_\mu^+ \bar{u}^\dagger \sigma^\mu \mathcal{V} d + \text{H.c.} \\ &= g W_\mu^+ \bar{u}^\dagger \sigma^\mu V d + \dots \end{aligned} \quad (4.1)$$

Here the generalized left-handed quark mixing matrix for the charged weak currents is given by

$$\mathcal{V} = \mathcal{V}_{u_L}^\dagger \begin{pmatrix} V_0 & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{pmatrix} \mathcal{V}_{d_L}. \quad (4.2)$$

The CKM matrix V for the ordinary quarks, which is included in \mathcal{V} as a submatrix, is actually modified from the original V_0 as

$$V = V_{u_L}^\dagger V_0 V_{d_L}. \quad (4.3)$$

Here, V_{u_L} and V_{d_L} are the left-handed ordinary quark mixings induced by the q - Q mixing, which are presented in the previous section.

In the present model containing only one Higgs doublet, there is no physical charged Higgs particle mediating the scalar interactions of quarks. If supersymmetric models with a pair of Higgs doublets H_1 and H_2 are considered, one physical charged scalar particle appears. Then, this charged scalar particle as well as the Nambu-Goldstone mode absorbed by the W boson have the Yukawa couplings which are described in terms of the quark masses and CKM matrix as

$$\Lambda_u^+ = (\bar{M}_u / \langle H_2^0 \rangle) V, \quad \Lambda_d^- = (\bar{M}_d / \langle H_1^0 \rangle) V^\dagger. \quad (4.4)$$

Therefore, these charged scalar couplings with the same CKM structure as the charged gauge interaction do not provide so distinct effects on the flavor changing processes.

The unitarity violation of the CKM matrix V is calculated with the unitarity relations (3.24) and (3.25) of \mathcal{V}_{QL} :

$$V^\dagger V - \mathbf{1} = -\epsilon'_{d_L} \epsilon_{d_L}^\dagger - V_{d_L}^\dagger V_0^\dagger \epsilon_{u_L} \epsilon_{u_L}^\dagger V_0 V_{d_L}, \quad (4.5)$$

$$V V^\dagger - \mathbf{1} = -\epsilon'_{u_L} \epsilon_{u_L}^\dagger - V_{u_L}^\dagger V_0^\dagger \epsilon_{d_L} \epsilon_{d_L}^\dagger V_0 V_{u_L}. \quad (4.6)$$

As shown in the next subsection, the modification of the Z mediated neutral currents as well as this CKM unitarity violation are described in terms of the second order q - Q mixing factors $\epsilon_{q_L} \epsilon_{q_L}^\dagger$ and $\epsilon'_{q_L} \epsilon_{q_L}^\dagger$ [2–7]. In the basis (a) with $\Delta'_{qQ} = \mathbf{0}$, the CKM unitarity violation is actually far below the experimental bounds [15]. This is due to the fact that the left-handed q - Q mixing is suppressed by the q/Q mass ratios, as seen in Eq. (3.18). On the other hand, if the model parameters are taken so that the basis (b) with $\Delta_{qQ} = \mathbf{0}$ is relevant, the q - Q mixing effects on the flavor changing interactions are not necessarily suppressed by the q/Q mass ratios. Hence, they can be comparable to the current experimental bounds, as usually considered in the literature [3,5]. As for the seesaw model, the q - Q mixing parameters may be related to the ordinary quark masses, as discussed in the previous section. Then, the q - Q mixing effects are substantially suppressed.

We now consider how the CKM structure with small flavor changing elements is reproduced in the presence of q - Q mixing. We have seen that in some cases the left-handed ordinary quark mixing V_{q_L} induced by the q - Q mixing is related to the ordinary quark mass hierarchy. Then, V_{q_L} is close enough to the unit matrix, and the actual CKM matrix V can be obtained readily by taking $V_0 \simeq V$. In other cases, as seen for example in Eq. (3.43), V_{q_L} itself may deviate significantly from the unit matrix. Even in such cases, the unitarity violation of V_{q_L} arising at the second order of the q - Q mixing parameters are constrained to be small enough phenomenologically. Then, the realistic CKM matrix can be reproduced by taking $V_0 \simeq V_{u_L} V V_{d_L}^\dagger$. As long as the mixing matrix V_0 can be taken freely, it is in fact impossible to make some definite predictions on the CKM matrix. It is, however, at least technically natural that the actual CKM matrix is close enough to the unit matrix. This choice for the CKM matrix can be ensured by means of the approximate flavor symmetries, as is the case in the minimal standard model. It will be an interesting possibility, as considered in the seesaw models for quark masses [9], that some predictions on the CKM matrix are obtained by invoking some global chiral symmetry to restrict the forms of Yukawa coupling matrices.

B. Neutral currents

We next describe the neutral currents of quarks coupled to the Z boson and Higgs scalar particles, which are also modified by the q - Q mixing.

1. Neutral gauge couplings

The neutral gauge interaction of quarks mediated by the Z boson is given by

$$\mathcal{L}_{\text{NC}}(Z) = \frac{g}{\cos \theta_W} Z_\mu J_Z^\mu \quad (4.7)$$

with

$$J_Z^\mu = \sum_{u,d} Q^\dagger \sigma^\mu Z_Q Q + \sum_{u^c, d^c} Q^{c\dagger} \sigma^\mu Z_{Q^c} Q^c. \quad (4.8)$$

The coupling matrices are given by

$$Z_Q = \mathcal{V}_{Q_L}^\dagger Z_Q^0 \mathcal{V}_{Q_L}, \quad (4.9)$$

$$Z_{Q^c} = I_Z(q_0^c) \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{pmatrix}, \quad (4.10)$$

where

$$Z_Q^0 = \begin{pmatrix} I_Z(q_0) \mathbf{1} & \mathbf{0} \\ \mathbf{0} & I_Z(Q_0) \mathbf{1} \end{pmatrix}, \quad (4.11)$$

and

$$I_Z(\mathcal{F}) = I_3(\mathcal{F}) - \sin^2 \theta_W Q_{\text{em}}(\mathcal{F}) \quad (4.12)$$

for $\mathcal{F} = q_0, q_0^c, Q_0, Q_0^c$.

The right-handed couplings are unchanged with the diagonal and flavor-universal form for $I_3(q_0^c) = I_3(Q_0^c) = 0$. The variation of the left-handed couplings induced by the q - Q mixing is calculated as

$$\begin{aligned} \Delta Z_Q &\equiv Z_Q - Z_Q^0 \\ &= I_3(q_0) \begin{pmatrix} -\epsilon'_{q_L} \epsilon_{q_L}^\dagger & V_{q_L}^\dagger \epsilon_{q_L} \\ \epsilon_{q_L}^\dagger V_{q_L} & \epsilon_{q_L}^\dagger \epsilon_{q_L} \end{pmatrix}. \end{aligned} \quad (4.13)$$

The upper-left component, say $\Delta Z_Q[q]$, in particular, describes the neutral currents among the ordinary quarks:

$$\Delta Z_Q[q] = -I_3(q_0) \epsilon'_{q_L} \epsilon_{q_L}^{\prime\dagger}. \quad (4.14)$$

It should here be noticed that this variation of the neutral currents (4.14) as well as the CKM unitarity violation given in Eqs. (4.5) and (4.6) arise at the second order of q - Q mixing [2–7].

In the basis (a) with $\Delta'_{qQ} = \mathbf{0}$, the variation of the Z mediated neutral currents induced by the q - Q mixing is estimated with Eq. (3.18) as

$$\Delta Z_Q[q]_{ij} \sim (m_{q_i}/m_Q)(m_{q_j}/m_Q) \epsilon_i^f \epsilon_j^f. \quad (4.15)$$

This correction as well as the CKM unitarity violation are suppressed substantially by the second order of q/Q mass ratios, providing negligible effects except for those involving the top quark.

On the other hand, in the basis (b) with $\Delta_{qQ} = \mathbf{0}$ we obtain

$$\Delta Z_Q[q]_{ij} \sim \epsilon_i^h \epsilon_j^h. \quad (4.16)$$

This q - Q mixing effect on the Z mediated neutral currents as well as the CKM unitarity violation are no longer suppressed by the q/Q mass ratios. Then, some meaningful constraints are placed phenomenologically on the q - Q mixing, and such constraints provide restrictions on the possible contributions to the flavor changing processes [3,5].

The modifications of the neutral gauge couplings as given in Eq. (4.16) for the basis (b) are also obtained for the seesaw model with $m_{Q_1}, m_{Q_2}, m_{Q_3} \sim m_Q$. Then, for the typical cases considered in Eqs. (3.42), (3.43) and (3.44), they are estimated as

$$(i): \quad \Delta Z_Q[q]_{ij} \sim \sqrt{(m_{q_i}/m_Q)(m_{q_j}/m_Q)}, \quad (4.17)$$

$$(ii): \quad \Delta Z_Q[q]_{ij} \sim (\bar{h}v/m_Q)^2, \quad (4.18)$$

$$(iii): \quad \Delta Z_Q[q]_{ij} \sim (m_{q_i}/\bar{f}v_S)(m_{q_j}/\bar{f}v_S). \quad (4.19)$$

Here, for the case (i) we have estimates with the ordinary quark masses as

$$\Delta \mathcal{Z}_U[u] \sim \begin{pmatrix} 10^{-5} & 10^{-4} & 10^{-3} \\ 10^{-4} & 10^{-3} & 10^{-2} \\ 10^{-3} & 10^{-2} & 10^{-1} \end{pmatrix} \frac{500 \text{ GeV}}{m_U}, \quad (4.20)$$

$$\Delta \mathcal{Z}_D[d] \sim \begin{pmatrix} 10^{-5} & 10^{-5} & 10^{-4} \\ 10^{-5} & 10^{-4} & 10^{-2} \\ 10^{-4} & 10^{-2} & 10^{-2} \end{pmatrix} \frac{500 \text{ GeV}}{m_D}. \quad (4.21)$$

These q - Q mixing effects in the case (i) can be comparable to the experimental bounds [15] for $m_Q \sim 500 \text{ GeV}$. In the case (ii), where the Z mediated FCNC's are rather flavor-independent, some stringent constraints will be placed phenomenologically on the mass ratio $\bar{h}v/m_Q$.

As for the seesaw model with $m_{Q_1} \gg m_{Q_2} \gg m_{Q_3}$, where the left-handed q - Q mixing is given by Eq. (3.48), the Z mediated FCNC's appear to be the same as given in Eq. (4.19) for case (iii). They are fairly suppressed by the second order of the ordinary quark masses, which is also the case in basis (a).

2. Neutral scalar couplings

The neutral scalar couplings of quarks are extracted from Eq. (2.1) as

$$\mathcal{L}_{\text{NC}}(\phi) = -\frac{1}{\sqrt{2}} \sum_{\alpha=0,1,2} \mathcal{Q}^c \Lambda_Q^\alpha \mathcal{Q} \phi_\alpha + \text{H.c.}, \quad (4.22)$$

where ϕ_0, ϕ_1, ϕ_2 represent the mass eigenstates of the neutral scalar fields. The coupling matrices are given by

$$\Lambda_Q^\alpha = O_{\alpha 0} \Lambda_Q^H + O_{\alpha 1} \Lambda_Q^{S^+} + i O_{\alpha 2} \Lambda_Q^{S^-} \quad (4.23)$$

with

$$\Lambda_Q^H = \mathcal{V}_{QR}^\dagger \begin{pmatrix} \lambda_q & \mathbf{0} \\ h_q & \mathbf{0} \end{pmatrix} \mathcal{V}_{QL}, \quad (4.24)$$

$$\Lambda_Q^{S^\pm} = \mathcal{V}_{QR}^\dagger \begin{pmatrix} \mathbf{0} & f_Q \pm f'_Q \\ \mathbf{0} & \lambda_Q \pm \lambda'_Q \end{pmatrix} \mathcal{V}_{QL}. \quad (4.25)$$

Here an orthogonal matrix O is introduced to parametrize the mass eigenstates of the neutral scalar fields:

$$\begin{pmatrix} \phi_0 \\ \phi_1 \\ \phi_2 \end{pmatrix} = O \begin{pmatrix} h_1 \\ s_1 \\ s_2 \end{pmatrix}. \quad (4.26)$$

The original complex Higgs fields are decomposed with the real scalar fields as

$$H^0 = \langle H^0 \rangle + (h_1 + i h_2)/\sqrt{2}, \quad (4.27)$$

$$S = \langle S \rangle + (s_1 + i s_2)/\sqrt{2}. \quad (4.28)$$

While the Nambu-Goldstone mode h_2 is absorbed by the Z boson, the remaining h_1, s_1, s_2 are combined to form the mass eigenstates ϕ_α . At present, the masses m_{ϕ_α} and mixing matrix O of the neutral scalar fields should be regarded as free parameters varying in some reasonable range. If the hierarchy $v_S \gg v$ is realized, the mixing between the Higgs doublet and singlet will be of the order of v/v_S .

Let us examine in detail the structures of the submatrices, say $\Lambda_Q^\alpha[q]$, describing the neutral scalar couplings of the ordinary quarks:

$$\Lambda_Q^\alpha[q] = O_{\alpha 0} \Lambda_Q^H[q] + O_{\alpha 1} \Lambda_Q^{S^+}[q] + i O_{\alpha 2} \Lambda_Q^{S^-}[q], \quad (4.29)$$

where

$$\Lambda_Q^H[q] = \hat{\lambda}_q + \hat{h}_q, \quad \Lambda_Q^{S^\pm}[q] = \hat{f}_Q^\pm + \hat{\lambda}_Q^\pm \quad (4.30)$$

with

$$\hat{\lambda}_q = V_{qR}^\dagger \lambda_q V_{qL}, \quad (4.31)$$

$$\hat{h}_q = -\epsilon'_{qR} h_q V_{qL}, \quad (4.32)$$

$$\hat{f}_Q^\pm = -V_{qR}^\dagger (f_Q \pm f'_Q) \epsilon'_{qL}{}^\dagger, \quad (4.33)$$

$$\hat{\lambda}_Q^\pm = \epsilon'_{qR} (\lambda_Q \pm \lambda'_Q) \epsilon'_{qL}{}^\dagger. \quad (4.34)$$

By considering the relations for the q - Q mixing effects, which are described in Sec. III, the flavor structure of the neutral scalar couplings $\Lambda_Q^\alpha[q]_{ij}$ is specified for the respective bases. We suppress below for simplicity the neutral Higgs mixing parameters by assuming $O_{\alpha\beta} \sim 1$, though they are readily recovered for the scalar couplings.

We first note the relations

$$\hat{\lambda}_q + \hat{h}_q = \Lambda_Q^H[q] = (\bar{M}_q/v) V_{qL}^\dagger V_{qL}, \quad (4.35)$$

$$\hat{\lambda}_q + (v_S/v) \hat{f}_Q^S = V_{qR}^\dagger V_{qR} (\bar{M}_q/v), \quad (4.36)$$

$$(v_S/v) \hat{\lambda}_Q^S + \hat{h}_q = \epsilon'_{qR} \epsilon'_{qR}{}^\dagger (\bar{M}_q/v), \quad (4.37)$$

$$(v_S/v) (\hat{\lambda}_Q^S + \hat{f}_Q^S) = (\bar{M}_q/v) \epsilon'_{qL} \epsilon'_{qL}{}^\dagger, \quad (4.38)$$

where

$$\hat{f}_Q^S = -V_{qR}^\dagger (f_Q e^{i\phi_S} + f'_Q e^{-i\phi_S}) \epsilon'_{qL}{}^\dagger, \quad (4.39)$$

$$\hat{\lambda}_Q^S = \epsilon'_{qR} (\lambda_Q e^{i\phi_S} + \lambda'_Q e^{-i\phi_S}) \epsilon'_{qL}{}^\dagger. \quad (4.40)$$

In order to derive these relations, Eq. (3.1) is multiplied by the products of matrices,

$$\mathcal{V}_{Q\chi}^\dagger \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{pmatrix} \mathcal{V}_{Q\chi}, \quad \mathcal{V}_{Q\chi}^\dagger \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{pmatrix} \mathcal{V}_{Q\chi},$$

from the right for $\chi=L$ or left for $\chi=R$. The relation (4.35), in particular, implies physically that the couplings of the Z boson and the Higgs field H^0 including the Nambu-Goldstone mode have the same flavor structure. In fact, by considering Eqs. (4.14) and (4.35) with Eq. (3.24) we find the relation for the FCNC's,

$$(\Lambda_Q^H[q])_{ij}^{(i \neq j)} = (m_{q_i}/v) \Delta \mathcal{Z}_Q[q]_{ij}/I_3(q_0). \quad (4.41)$$

Then, it is sufficient to calculate the contributions $\Lambda_Q^{\pm}[q]$ with the Higgs singlet S .

In the basis (a) with $\Delta'_{qQ}=\mathbf{0}$, the Z mediated FCNC's are suppressed by the second order of q/Q mass ratios. Hence, the f_Q and f'_Q couplings provide dominant contributions to the scalar FCNC's. They are estimated as

$$(\hat{f}_Q^{\pm})_{ij} = -(V_{q_R}^{\dagger})_{ii}(f_Q^{\pm})_{ia}(\epsilon'_{q_L})_{aj} - \sum_{k \neq i} (V_{q_R}^{\dagger})_{ik}(f_Q^{\pm})_{ka}(\epsilon'_{q_L})_{aj},$$

where Eqs. (3.18) and (3.21) for the quark mixings are considered. The first term amounts to the order of $(m_{q_j}/v_S)\epsilon_i^f\epsilon_j^f$. The second term is estimated as $\epsilon_i^f\epsilon_k^f\epsilon_k^f(m_Q/v_S)(m_{q_j}/m_Q)\epsilon_j^f \lesssim (m_{q_j}/v_S)\epsilon_i^f\epsilon_j^f$. Similar estimates are made for the λ_Q and λ'_Q contributions. Then, the leading contributions to the neutral scalar couplings in the basis (a) with $\Delta'_{qQ}=\mathbf{0}$ are given by

$$\Lambda_Q^{\alpha}[q]_{ij} \sim (m_{q_i}/v)\delta_{ij} + (m_{q_j}/v_S)\epsilon_i^f\epsilon_j^f. \quad (4.42)$$

Here, in contrast to the Z mediated FCNC's, the scalar FCNC's in the basis (a) are suppressed only by the first order of ordinary quark masses. The relevant factors are estimated as

$$\frac{m_{u_j}}{v_S} \sim (10^{-5}, 10^{-3}, 10^{-1}) \frac{500 \text{ GeV}}{v_S}, \quad (4.43)$$

$$\frac{m_{d_j}}{v_S} \sim (10^{-5}, 10^{-4}, 10^{-2}) \frac{500 \text{ GeV}}{v_S}. \quad (4.44)$$

Then, these scalar FCNC's are expected to provide significant phenomenological effects for $\epsilon_i^f \sim 0.1-1$ and $v_S \sim 100 \text{ GeV}-1 \text{ TeV}$ [6].

We here mention that in certain models these contributions to the scalar FCNC's substantially cancel out. For instance, suppose that $f'_Q=\mathbf{0}$ and $\lambda'_Q=\mathbf{0}$, as is the case for the one real S model and the one supersymmetric S model. Then, we have $\hat{f}_Q + \hat{\lambda}_Q = e^{-i\phi_S}(\hat{f}_Q^S + \hat{\lambda}_Q^S) = (\bar{M}_q/v_S)\epsilon'_{q_L}\epsilon_{q_L}^{\dagger}$ from Eq. (4.38), which is even smaller by the factor v/v_S than the Λ_Q^H contribution given in Eq. (4.35). Hence, for this specific case with $f'_Q=\mathbf{0}$ and $\lambda'_Q=\mathbf{0}$ we have the scalar FCNC's $\Lambda_Q^{\alpha}[q]_{ij}^{(i \neq j)} \sim (m_{q_i}/v)(m_{q_i}/m_Q)(m_{q_j}/m_Q)\epsilon_i^f\epsilon_j^f$, which are related to the Z mediated FCNC's as seen in Eq. (4.41). This result is also valid for the no singlet Higgs S model with bare Δ_{qQ} and M_Q terms. It should further be remarked for completeness that in some models only M_Q is the bare mass term, but the Δ_{qQ} term is provided by the singlet Higgs S

either real or complex. In this case, the above cancellation between the f_Q and λ_Q couplings does not take place, and hence the scalar FCNC's are still given by Eq. (4.42).

We next consider the basis (b) with $\Delta_{qQ}=\mathbf{0}$. It should be noted that the f_Q and f'_Q couplings may in general take some nonzero values as

$$|(f_Q)_{ia}|, |(f'_Q)_{ia}| \sim \bar{f}_i. \quad (4.45)$$

Then, even though the specific combination \hat{f}_Q^S vanishes due to the condition $\Delta_{qQ}=\mathbf{0}$, there is no reason to have cancellation between the f_Q and f'_Q contributions in Eq. (4.33). Hence, we obtain

$$\Lambda_Q^{\alpha}[q]_{ij} \sim (m_Q/v_S)\bar{f}_i\epsilon_j^h + (m_{q_i}/v)(\delta_{ij} + \epsilon_i^h\epsilon_j^h). \quad (4.46)$$

The first term from the f_Q and f'_Q couplings is no longer suppressed by the ordinary quark masses. The flavor changing part of the second term from $\Lambda_Q^H[q]$ is related to the Z mediated FCNC's, as given in Eq. (4.41). The λ_Q and λ'_Q contributions in Eq. (4.34) are also estimated as $(m_{q_i}/v_S)\epsilon_i^h\epsilon_j^h$ with Eqs. (3.29) and (3.30). It should here be remembered that in some models the f'_Q coupling is absent. Then, the f_Q coupling is eliminated for $\Delta_{qQ}=\mathbf{0}$, and hence the first term disappears in Eq. (4.46).

The quark mass matrix of the seesaw form may be deformed formally to those in the bases (a) and (b), as seen in Sec. III. Hence, similar features are expected for the neutral scalar couplings, which have been observed so far. For definiteness, we consider the case where the f'_Q coupling is absent and the submatrix M_Q is a bare mass term. Then, the h_q and f_Q contributions are determined, respectively, from Eqs. (4.35) and (4.36) with $\lambda_q=\mathbf{0}$ and $f'_Q=\mathbf{0}$ as

$$\Lambda_Q^{\alpha}[q]_{ij} \sim (V_{q_R}^{\dagger} V_{q_R})_{ij}(m_{q_j}/v_S) + (m_{q_i}/v)(V_{q_L}^{\dagger} V_{q_L})_{ij}. \quad (4.47)$$

Here, the scalar FCNC's ($i \neq j$) are described in terms of the ordinary quark masses and the unitarity violation (3.24) of the ordinary quark mixings V_{q_L} and V_{q_R} induced by the q - Q mixing. In particular, the second term is related to the Z mediated FCNC's. In the case of $m_{Q_1}, m_{Q_2}, m_{Q_3} \sim m_Q$, the first term can be significant with the form as given in Eq. (4.42) for the basis (a). On the other hand, if the inverted hierarchy $m_{Q_1} \gg m_{Q_2} \gg m_{Q_3}$ is realized, these scalar FCNC's are related to the ordinary quark masses with Eqs. (3.48) and (3.49). Hence, they appear to be negligibly small.

3. FCNC's (Z) versus FCNC's (ϕ)

We have examined so far the structures of the FCNC's for the representative bases, which are summarized in Table II. We here, in particular, note that in some cases the scalar FCNC's (ϕ) can be much larger than the Z mediated FCNC's (Z).

In the basis (a) with $\Delta'_{qQ}=\mathbf{0}$, the FCNC's (ϕ) arise at the first order of q/Q mass ratios, while the FCNC's (Z) are

TABLE II. The FCNC's in the gauge and scalar interactions are listed for the representative bases, which are provided by the q - Q mixing. For the seesaw model, [com] indicates the case of comparable singlet quark masses $m_{Q_1}, m_{Q_2}, m_{Q_3} \sim m_Q$, and [inv] the case of inverted hierarchy $m_{Q_1} \gg m_{Q_2} \gg m_{Q_3}$.

Quark mass matrix form \mathcal{M}_Q	Gauge-mediated FCNC's ($i \neq j$) $\Delta \mathcal{Z}_Q[q]_{ij}$	Scalar-mediated FCNC's ($i \neq j$) $\Lambda_Q^\alpha[q]_{ij}$
basis (a) : $\Delta'_{qQ} = \mathbf{0}$	$\frac{m_{q_i}}{m_Q} \frac{m_{q_j}}{m_Q} \epsilon_i^f \epsilon_j^f$	$\frac{m_{q_j}}{v_S} \epsilon_i^f \epsilon_j^f + \frac{m_{q_i}}{v} \Delta \mathcal{Z}_Q[q]_{ij}$
basis (b) : $\Delta_{qQ} = \mathbf{0}$	$\epsilon_i^h \epsilon_j^h$	$\frac{m_Q}{v_S} \tilde{f}_i \epsilon_j^h + \frac{m_{q_i}}{v} \Delta \mathcal{Z}_Q[q]_{ij}$
seesaw : $M_q = \mathbf{0}$	[com] $\epsilon_i^h \epsilon_j^h$	$\frac{m_{q_j}}{v_S} \epsilon_i^f \epsilon_j^f + \frac{m_{q_i}}{v} \Delta \mathcal{Z}_Q[q]_{ij}$
	[inv] $\frac{m_{q_i}}{\tilde{f} v_S} \frac{m_{q_j}}{\tilde{f} v_S}$	$\frac{m_{q_i}}{\tilde{h} v} \frac{m_{q_j}}{\tilde{h} v} \frac{m_{q_j}}{v_S} + \frac{m_{q_i}}{v} \Delta \mathcal{Z}_Q[q]_{ij}$

fairly suppressed by the second order of q/Q mass ratios. Hence, as seen in Eqs. (4.42), (4.43), and (4.44), the FCNC's (ϕ) are expected to provide significant physical effects for $m_Q, m_{\phi_\alpha} \sim 100$ GeV–1 TeV.

In the basis (b) with $\Delta_{qQ} = \mathbf{0}$, as seen in Eq. (4.46), if both the f_Q and f'_Q couplings are present with complex S or several real S 's, the FCNC's (ϕ) contains the term which is not related to the FCNC's (Z). Then, the contributions of FCNC's (ϕ) may exceed those of FCNC's (Z) if the f_Q and f'_Q couplings are large enough with $m_Q, m_{\phi_\alpha} \sim 100$ GeV–1 TeV.

The seesaw model with $m_{Q_1}, m_{Q_2}, m_{Q_3} \sim m_Q$ has a hybrid feature of the above two cases for the FCNC's, i.e., the FCNC's (ϕ) has the structure the same as in the basis (a), while the FCNC's (Z) the same as in the basis (b). In the seesaw model with $m_{Q_1} \gg m_{Q_2} \gg m_{Q_3}$, the FCNC's (Z) and FCNC's (ϕ) are both negligibly small suppressed by the powers of the ordinary quark masses.

We would anyway like to emphasize that in some cases the effects of the FCNC's (ϕ) can be more important than those of the FCNC's (Z). Then, the neutral Higgs contributions to the flavor changing and CP violating processes may rather serve as signals for the new physics beyond the standard model [4,6,10]. This possibility has not been paid so much attention before in the models with singlet quarks.

V. NUMERICAL ANALYSIS

We here perform a detailed numerical analysis for calculating the quark mixings and flavor changing couplings which are induced by the q - Q mixing. The flavor structures of these q - Q mixing effects have been described in the previous sections. They are really confirmed by this numerical analysis.

We begin with taking some reasonable values for the model parameters. The VEV's of the Higgs fields are taken typically as

$$v = 246 \text{ GeV}, \quad v_S = 500 \text{ GeV}.$$

The singlet quark masses are chosen as

$$m_{Q_a} \sim 300 \text{ GeV} - 1 \text{ TeV} \quad (a = 1, 2, \dots, N_Q)$$

(except for the seesaw model with $m_{Q_1} \gg m_{Q_2} \gg m_{Q_3}$). This is made by taking suitably the λ_Q and λ'_Q couplings with given v_S :

$$|(\lambda_Q)_{ab}|, \quad |(\lambda'_Q)_{ab}| \sim \frac{m_Q}{v_S}.$$

Then, the f_Q , f'_Q and h_q couplings are taken so as to reproduce the expected values of the q - Q mixing parameters:

$$|(f_Q)_{ia}|, \quad |(f'_Q)_{ia}| \rightarrow \epsilon_i^f \lesssim 1, \quad |(h_q)_{ai}| \rightarrow \epsilon_i^h \lesssim 1.$$

The complex phases of these Yukawa couplings and the VEV of the singlet Higgs field are taken randomly in the full range

$$\arg[h_q, f_Q, f'_Q, \lambda_Q, \lambda'_Q], \quad \phi_S \in [-\pi, \pi].$$

The actual masses of the ordinary quarks are reproduced by adjusting the relevant parameters as

$$\text{bases (a) and (b):} \quad \lambda_{q_i} \rightarrow m_{q_i},$$

$$\text{seesaw:} \quad \begin{cases} (f_Q)_{ia}, (h_q)_{ai} \rightarrow m_{q_i} (m_{Q_a} \sim m_Q), \\ m_{Q_i} \rightarrow m_{q_i} (m_{Q_1} \gg m_{Q_2} \gg m_{Q_3}). \end{cases}$$

The quark mass matrix \mathcal{M}_Q given with these parameters is diagonalized numerically. Then, the quark mixing matrices are determined precisely, and the flavor changing couplings are calculated. Some typical results on these q - Q mixing effects are shown in Figs. 1–7 by noting for instance the u - t transition terms. Similar results are also obtained for the other flavor changing terms.

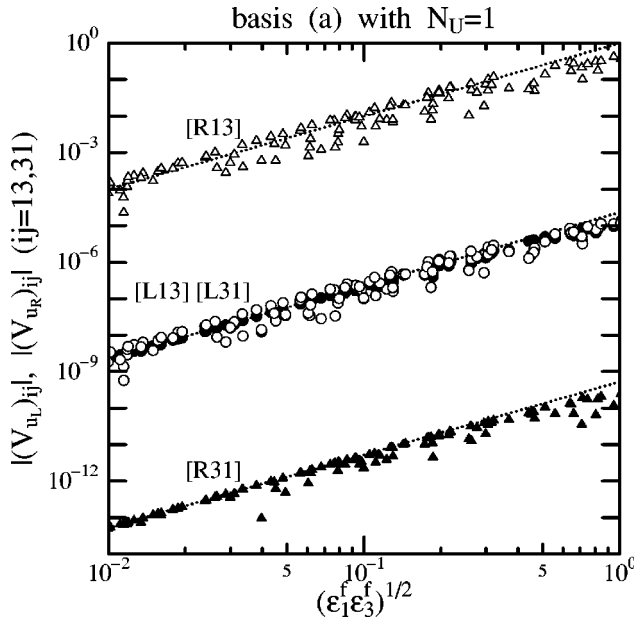


FIG. 1. The u - t mixing elements are shown for the basis (a) with $N_U=1$ depending on $(\epsilon_1^f \epsilon_3^f)^{1/2}$. The values of the relevant couplings are taken randomly. The marks are assigned as “circle”: $|(V_u)_{ij}|$ and “triangle”: $|(V_u)_{ij}|$. The respective elements are denoted as “blank mark”: $ij=13$ and “filled mark”: $ij=31$. The dotted lines indicate the expected flavor structures.

In Fig. 1, the u - t mixing elements are shown for the basis (a) with $N_U=1$ depending on the combination $(\epsilon_1^f \epsilon_3^f)^{1/2}$ of the q - Q mixing parameters. The values of the relevant couplings are taken randomly. The marks are assigned as

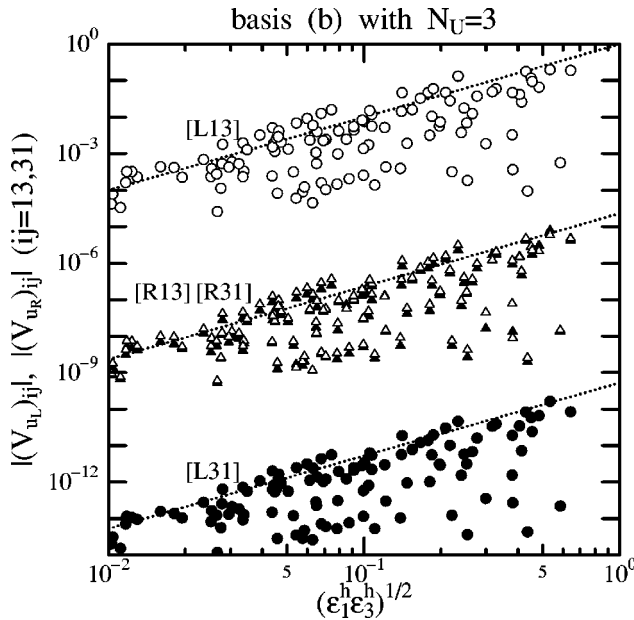


FIG. 2. The u - t mixing elements are shown for the basis (b) with $N_U=3$ depending on $(\epsilon_1^h \epsilon_3^h)^{1/2}$. The marks are assigned the same as in Fig. 1. The dotted lines indicate the expected flavor structures.

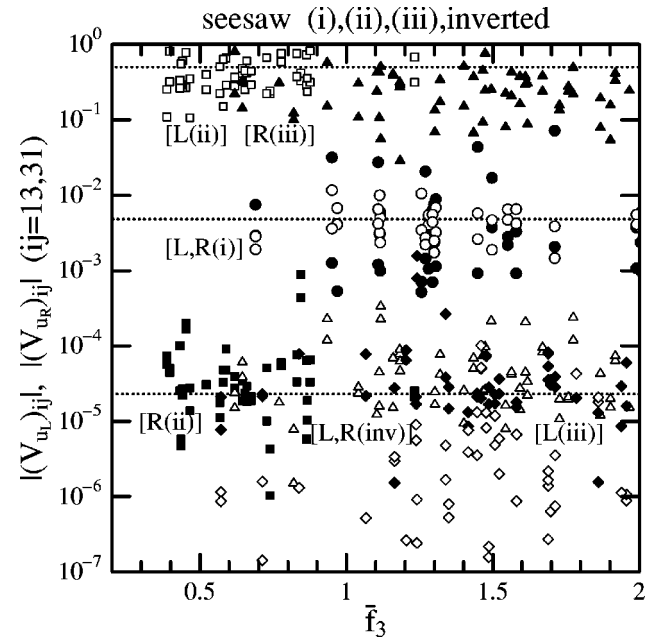


FIG. 3. The u - t mixing elements are shown depending on \bar{f}_3 for the seesaw models of (i), (ii), (iii) and inverted cases. The marks are assigned as “circle”: (i), “square”: (ii), “triangle”: (iii) and “diamond”: inverted. The chirality of the mixings is also denoted as “blank mark”: $|(V_u)_{13,31}|$ and “filled mark”: $|(V_u)_{13,31}|$. The dotted lines indicate the expected values.

circle: $|(V_u)_{ij}|$ (left-handed),
triangle: $|(V_u)_{ij}|$ (right-handed).

The respective elements are denoted as

blank mark: $ij=13$,
filled mark: $ij=31$.

The dotted lines indicate the expected flavor structures given in Eqs. (3.20) and (3.21). In Fig. 2, the same quantities as in Fig. 1 are shown for the basis (b) with $N_U=3$. The dotted lines indicate the expected flavor structures given in Eqs. (3.31) and (3.32). The duality (A47) between the bases (a) and (b) is clearly observed in these figures. That is, the regions of the circles (left-handed mixing) and the triangles (right-handed mixing) are exchanged. It is also found that similar q - Q mixing effects are obtained irrespectively of the number N_Q of the singlet quarks.

In Fig. 3, the u - t mixing elements are shown for the seesaw models of (i), (ii), (iii) and inverted cases depending on the relevant coupling parameter $\bar{f}_3 \equiv \Sigma_a |(f_U)_{3a}|/3$. It should here be remarked that $m_t \sim v$ is obtained for $|(f_U)_{3a}| \sim 1$ and $|(h_U)_{a3}| \sim 1$ with $m_Q \sim v_S$. The marks are assigned for the respective cases as

circle: (i),
square: (ii),
triangle: (iii),
diamond: inverted.

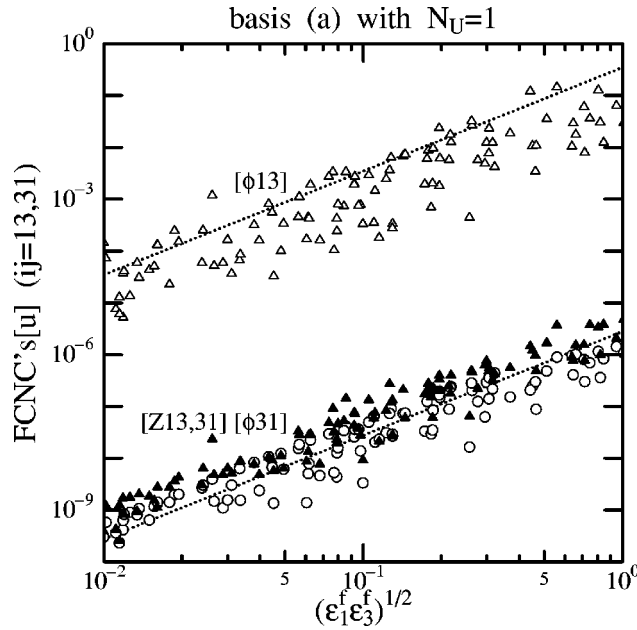


FIG. 4. The FCNC's of the u - t transition are shown for the basis (a) with $N_U=1$ depending on $(\epsilon_1^f \epsilon_3^f)^{1/2}$. The marks are assigned as “circle (blank)”: $|\Delta Z_{uL}[u]_{13}| = |\Delta Z_{uL}[u]_{31}|$, “triangle (blank)”: $\bar{\Lambda}_{uL}[u]_{13}$ and “triangle (filled)”: $\bar{\Lambda}_{uL}[u]_{31}$. The dotted lines indicate the expected flavor structures.

The chirality of the mixings is also denoted as

$$\begin{aligned} \text{blank mark: } & |(V_{u_L})_{13,31}| (\text{left-handed}), \\ \text{filled mark: } & |(V_{u_R})_{13,31}| (\text{right-handed}). \end{aligned}$$

Here, $|(V_{u_L})_{13}|$ and $|(V_{u_L})_{31}|$ appear to be of the same order in the seesaw models. The dotted lines indicate the expected values given in Eqs. (3.42), (3.43), (3.44), (3.50), and (3.51). We observe, in particular, that the significant mixings are induced for $(V_{u_L})_{13}$ (blank square) of case (ii) and $(V_{u_R})_{31}$ (filled triangle) of case (iii). Although the left-handed mixings $(V_{q_L})_{ij}$ appear to be of $O(1)$ for the case (ii), its unitarity violation is suppressed by $\epsilon_i^h \epsilon_j^h \sim (\bar{h}v/m_Q)^2$. Hence, in this specific case with $m_t \sim \bar{h}v$, the singlet quark masses $\sim m_U$ will be required to be sufficiently larger than the electroweak scale [3,5]. The right-handed mixings $(V_{q_R})_{ij} \sim 1$ for the case (iii), on the other hand, contribute to provide the significant scalar couplings $\Lambda_Q^a[q]_{ij} \sim (m_{q_j}/v_s)(\bar{f}_3 v_s/m_Q)^2$.

The magnitudes of the FCNC's of the u - t transition are shown in Figs. 4, 5, and 6, respectively, for the basis (a), basis (b) and seesaw of case (iii). In these cases, the significant FCNC's (ϕ) are obtained, which are not related to the FCNC's (Z). The marks are assigned as

$$\begin{aligned} \text{circle (blank): } & |\Delta Z_{uL}[u]_{13}| = |\Delta Z_{uL}[u]_{31}|, \\ \text{triangle (blank): } & \bar{\Lambda}_{uL}[u]_{13}, \\ \text{triangle (filled): } & \bar{\Lambda}_{uL}[u]_{31}, \end{aligned}$$

where

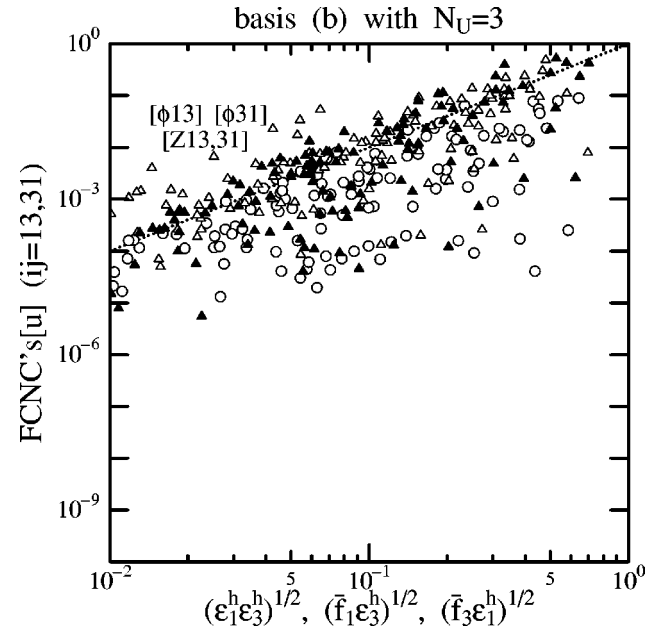


FIG. 5. The FCNC's of the u - t transition are shown for the basis (b) with $N_U=3$. They depend, respectively, on $(\epsilon_1^h \epsilon_3^h)^{1/2}$ for the gauge couplings and $(\bar{f}_i \epsilon_j^h)^{1/2}$ ($ij=13,31$) for the scalar couplings. The marks are assigned the same as in Fig. 4. The dotted lines indicate the expected flavor structures.

$$\bar{\Lambda}_Q[q]_{ij} \equiv \frac{1}{3} [|\Lambda_Q^H[q]_{ij}| + |\Lambda_Q^{S+}[q]_{ij}| + |\Lambda_Q^{S-}[q]_{ij}|].$$

These FCNC's are shown depending on the relevant parameters ϵ_i^f , ϵ_i^h and $\bar{f}_i \equiv \Sigma_a |(f_U)_{ia}|/3$ ($|(f_U)_{ia}| = |(f'_U)_{ia}|$ in the basis (b) with $\Delta'_{qQ} = 0$), so that their flavor structures are

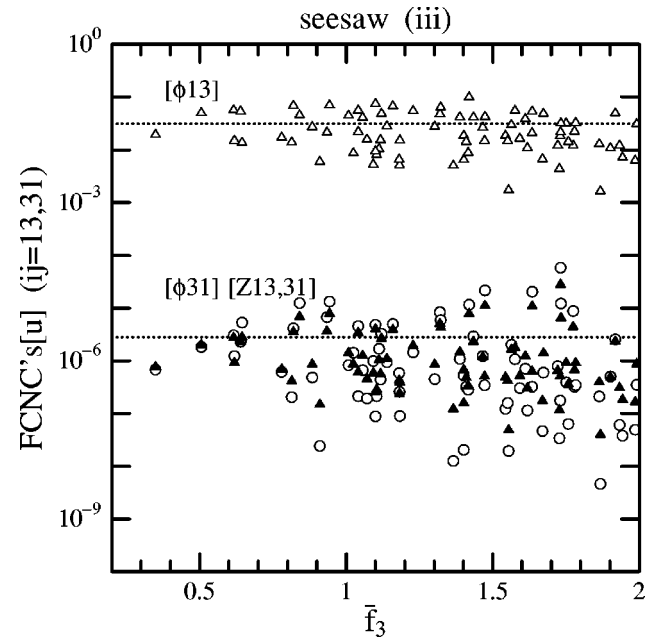


FIG. 6. The FCNC's of the u - t transition are shown for the seesaw model of (iii) depending on \bar{f}_3 . The dotted lines indicate the expected values.

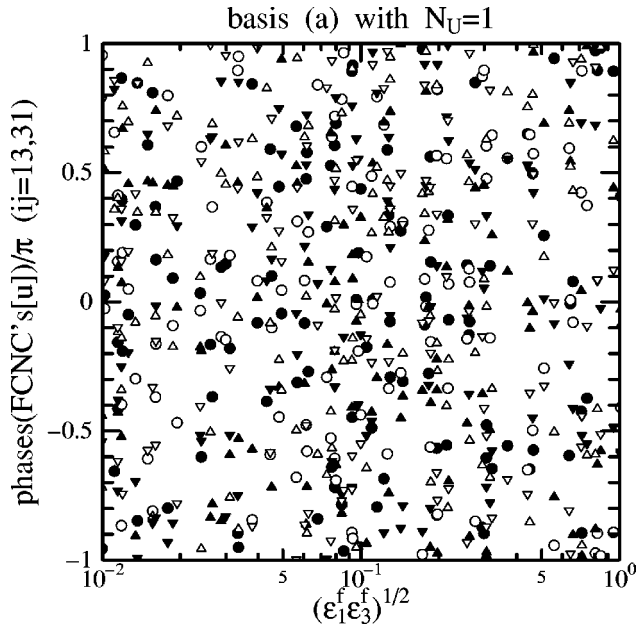


FIG. 7. The complex phases involved in the FCNC's of the u - t transition are shown for the basis (a) with $N_U=1$. The marks are assigned as “circle”: $\arg[\Delta Z_{uL}[u]_{ij}]$, “triangle-up”: $\arg[\Lambda_{uL}^{S+}[u]_{ij}]$ and “triangle-down”: $\arg[\Lambda_{uL}^{S-}[u]_{ij}]$. The respective elements are denoted as “blank mark”: $ij=13$ and “filled mark”: $ij=31$.

readily compared to the expected ones (dotted lines). We observe clearly that in the basis (a) and seesaw of case (iii) the scalar coupling (13 element) of the u - t transition is quite significant being proportional to the top quark mass m_t . On the other hand, in the basis (b) the gauge couplings as well as the scalar couplings can be considerable.

In Fig. 7, the complex phases involved in the FCNC's of the u - t transition are shown for the basis (a). The marks (blank for $ij=13$ and filled for $ij=31$) are assigned as

$$\begin{aligned} \text{circle:} & \quad \arg[\Delta Z_{uL}[u]_{ij}], \\ \text{triangle-up:} & \quad \arg[\Lambda_{uL}^{S+}[u]_{ij}], \\ \text{triangle-down:} & \quad \arg[\Lambda_{uL}^{S-}[u]_{ij}]. \end{aligned}$$

[Note that $\arg[\Lambda_{uL}^{H}[q]_{ij}] = \arg[\Delta Z_{uL}[q]_{ij}]$ due to Eq. (4.41).] Here, the complex phases of the relevant parameters are taken randomly. It is clearly observed that the CP violating phases in the gauge and scalar couplings take various values depending on the phases of the original model parameters.

VI. SUMMARY AND DISCUSSION

The singlet quarks may provide various intriguing effects in particle physics and cosmology through the mixing with the ordinary quarks. We have presented the systematic and comprehensive investigations on the quark mixings in the electroweak models with singlet quarks. There are some appropriate choices of the quark basis for the electroweak eigenstates, where the entire quark mass matrix \mathcal{M}_Q has the specific form without loss of generality. They are the basis (a) with the $Q^c q$ mixing term $\Delta_{qQ} = \mathbf{0}$, the basis (b) with the

$q^c Q$ mixing term $\Delta_{qQ} = \mathbf{0}$ and the seesaw with the ordinary quark mass matrix $M_q = \mathbf{0}$. We may take complementarily these quark bases, depending on the model parameter ranges and also the symmetries and matter contents.

We have examined in detail for these bases how the ordinary quark masses and mixings are affected by the q - Q mixing. The flavor changing interactions are also modified by the q - Q mixing. Specifically, the CKM unitarity within the ordinary quark sector is violated, and the FCNC's arise both in the gauge and scalar couplings. The structures of these flavor changing interactions have been clarified for the respective quark bases. In fact, they are described appropriately in terms of the q - Q mixing parameters and the quark masses. These results ensure that there are some reasonable ranges of the model parameters where the ordinary quark mass hierarchy and the actual CKM structure are reproduced even in the presence of singlet quarks. In these meanings, the present case with singlet quarks may provide an interesting extension of the idea of natural flavor conservation [8].

A detailed numerical analysis has further been performed for calculating precisely the quark mixings and flavor changing couplings with singlet quarks. Then, it has been confirmed that the q - Q mixing effects really exhibit the expected flavor structures. These calculations on the singlet quarks may be extended readily for the models with various exotic quarks and leptons such as vector-like electroweak doubles, where the entire fermion mass matrix has the same form as \mathcal{M}_Q .

We finally discuss some phenomenological implications derived from the results of the present investigations. We particularly note that the scalar FCNC's (ϕ) are sometimes fairly larger than the gauge FCNC's (Z), as seen in Figs. 4, 5, and 6. Then, if the singlet quarks and extra Higgs particles exist just above the electroweak scale with $m_Q, m_{\phi_\alpha} \sim 100 \text{ GeV} - 1 \text{ TeV}$, the scalar FCNC's (ϕ) are expected to provide significant effects on the flavor changing and CP violating processes, e.g., the K , B and D meson physics. Hence, these effects of scalar FCNC's (ϕ) rather than those of gauge FCNC's (Z) might serve as signals for the new physics beyond the standard model. This possibility has not been paid so much attention before in the models with singlet quarks.

The FCNC's induced by the q - Q mixing may sometimes be related to the ordinary quark masses. Then, it will be expected that the q - Q mixing effects can be observed most likely in the top quark physics. In particular, in the basis (a) the scalar FCNC's (ϕ) and the gauge FCNC's (Z) arise, respectively, at the first and second orders of the ordinary quark masses. Then, we expect $\text{Br}(t \rightarrow cZ) \sim 10^{-5}$ with $|\langle \Delta Z_{uL} \rangle_{13}| \sim (m_c/m_Q)(m_t/m_Q)$ for $m_Q \sim 200 \text{ GeV}$ and $\epsilon_i^f \sim 1$ while negligibly small $\text{Br}(t \rightarrow uZ)$. If the neutral Higgs particle ϕ_0 , which is mainly h_1 of the standard model, is light enough, we would obtain the top quark decays involving ϕ_0 [16]. The branching ratio can be rather significant with the scalar couplings $|\langle \Lambda_{uL}^{S\pm} \rangle_{i3}| \sim (m_t/v_S)\epsilon_i^f \epsilon_3^f$ and the H^0 - S mixings $O_{10}, O_{20} \sim v/v_S$. In fact, we estimate $\text{Br}(t \rightarrow q_i + \phi_0) \sim 10^{-2}(\epsilon_i^f \epsilon_3^f)^2$ ($q_i = u, c$) for $v_S \sim 500 \text{ GeV}$ and $m_{\phi_0} \sim 100 \text{ GeV}$.

It will be worth making further investigations of these remarkable effects particularly of the scalar FCNC's induced by the q - Q mixing.

ACKNOWLEDGMENTS

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APPENDIX A: DIAGONALIZATION OF THE QUARK MASS MATRIX

We here present the algebraic calculations for diagonalizing the quark mass matrix \mathcal{M}_Q . The leading order results for $N_Q=1$ are given in the literature [2]. We do not intend to calculate explicitly the higher order corrections. The following treatments hence seems to be of little practical use. The diagonalization of \mathcal{M}_Q can anyway be made precisely by numerical calculations. We would rather like to present comprehensive explanations for the specific flavor structures of the q - Q mixing effects. They are even valid beyond the leading orders for more general cases with several singlet quarks and wide ranges of the model parameters. Then, the following arguments appear to be helpful to understand the results of precise numerical calculations.

The diagonalization of \mathcal{M}_Q may be performed at two steps by dividing the unitary transformation as

$$\mathcal{V}_{Q_X} = \mathcal{V}_{Q_X}^{(1)} \mathcal{V}_{Q_X}^{(2)} \quad (\text{A1})$$

with

$$\mathcal{V}_{Q_X}^{(1)} = \begin{pmatrix} V_{q_X}^{(1)} & \epsilon_{q_X}^{(1)} \\ -\epsilon_{q_X}^{(1)\dagger} & V_{Q_X}^{(1)} \end{pmatrix}, \quad (\text{A2})$$

$$\mathcal{V}_{Q_X}^{(2)} = \begin{pmatrix} V_{q_X}^{(2)} & \mathbf{0} \\ \mathbf{0} & V_{Q_X}^{(2)} \end{pmatrix}. \quad (\text{A3})$$

Here, $V_{q_X}^{(1)}$ and $V_{Q_X}^{(1)}$ are in general non-unitary due to the q - Q mixing $\epsilon_{q_X}^{(1)}$ ($\epsilon_{q_X}^{(1)\dagger} = \epsilon_{q_X}^{(1)}$, as given explicitly below), while $V_{q_X}^{(2)}$ and $V_{Q_X}^{(2)}$ are unitary by definition with $\epsilon_{q_X}^{(2)} = \epsilon_{q_X}^{(2)\dagger} = \mathbf{0}$. The components of the entire transformation \mathcal{V}_{Q_X} are then given by

$$\epsilon_{q_X} = \epsilon_{q_X}^{(1)} V_{Q_X}^{(2)}, \quad \epsilon'_{q_X} = V_{q_X}^{(2)\dagger} \epsilon_{q_X}^{(1)}, \quad (\text{A4})$$

$$V_{q_X} = V_{q_X}^{(1)} V_{q_X}^{(2)}, \quad V_{Q_X} = V_{Q_X}^{(1)} V_{Q_X}^{(2)}. \quad (\text{A5})$$

The first step transformation is utilized for eliminating Δ_{qQ} and Δ'_{qQ} , which may be given by

$$\mathcal{V}_{Q_X}^{(1)} = \exp[\mathcal{E}_{Q_X}] = \mathbf{1} + \mathcal{E}_{Q_X} + \frac{1}{2!} \mathcal{E}_{Q_X}^2 + \dots \quad (\text{A6})$$

with certain anti-Hermitian matrix

$$\mathcal{E}_{Q_X} = \begin{pmatrix} \mathbf{0} & \bar{\epsilon}_{q_X} \\ -\bar{\epsilon}_{q_X}^\dagger & \mathbf{0} \end{pmatrix}. \quad (\text{A7})$$

Then, we have the submatrices in $\mathcal{V}_{Q_X}^{(1)}$ as

$$\begin{aligned} \epsilon_{q_X}^{(1)} &= \epsilon_{q_X}^{(1)'} = \bar{\epsilon}_{q_X} - \frac{1}{3!} \bar{\epsilon}_{q_X} \bar{\epsilon}_{q_X}^\dagger \bar{\epsilon}_{q_X} + \dots \\ &= \bar{\epsilon}_{q_X} \bar{B}_{q_X} = \bar{B}'_{q_X} \bar{\epsilon}_{q_X}, \end{aligned} \quad (\text{A8})$$

$$\begin{aligned} V_{q_X}^{(1)} &= V_{q_X}^{(1)\dagger} = \mathbf{1} - \frac{1}{2!} \bar{\epsilon}_{q_X} \bar{\epsilon}_{q_X}^\dagger + \dots \\ &= \mathbf{1} + \bar{\epsilon}_{q_X} \bar{A}_{q_X} \bar{\epsilon}_{q_X}^\dagger = \mathbf{1} + \epsilon_{q_X}^{(1)} A_{q_X} \epsilon_{q_X}^{(1)\dagger}, \end{aligned} \quad (\text{A9})$$

$$\begin{aligned} V_{Q_X}^{(1)} &= V_{Q_X}^{(1)\dagger} = \mathbf{1} - \frac{1}{2!} \bar{\epsilon}_{q_X}^\dagger \bar{\epsilon}_{q_X} + \dots \\ &= \mathbf{1} + \bar{\epsilon}_{q_X}^\dagger \bar{A}_{Q_X} \bar{\epsilon}_{q_X} = \mathbf{1} + \epsilon_{q_X}^{(1)\dagger} A_{Q_X} \epsilon_{q_X}^{(1)}, \end{aligned} \quad (\text{A10})$$

where \bar{A}_{q_X} , \bar{A}_{Q_X} , \bar{B}_{q_X} , $\bar{B}'_{q_X} = \mathbf{1} + O(\bar{\epsilon}_{q_X}^2)$, and $A_{q_X} = \bar{B}_{q_X}^{-1} \bar{A}_{q_X} \bar{B}_{q_X}^{\dagger-1}$, $A_{Q_X} = \bar{B}'_{q_X}{}^{\dagger-1} \bar{A}_{Q_X} \bar{B}'_{q_X}{}^{-1}$. The quark mass matrix is transformed as

$$\mathcal{V}_{Q_R}^{(1)\dagger} \mathcal{M}_Q \mathcal{V}_{Q_L}^{(1)} = \begin{pmatrix} M_q^{(1)} & \mathbf{0} \\ \mathbf{0} & M_Q^{(1)} \end{pmatrix}. \quad (\text{A11})$$

Here, the first step transformation is determined by the conditions,

$$\begin{aligned} \Delta_{qQ}^{(1)} &= V_{q_R}^{(1)\dagger} (M_q \epsilon_{q_L}^{(1)} + \Delta_{qQ} V_{Q_L}^{(1)}) \\ &\quad - \epsilon_{q_R}^{(1)} (M_Q V_{Q_L}^{(1)} + \Delta'_{qQ} \epsilon_{q_L}^{(1)}) = \mathbf{0}, \end{aligned} \quad (\text{A12})$$

$$\begin{aligned} \Delta_{qQ}^{(1)'} &= (V_{Q_R}^{(1)\dagger} \Delta'_{qQ} + \epsilon_{q_R}^{(1)\dagger} M_q) V_{q_L}^{(1)} \\ &\quad - (V_{Q_R}^{(1)\dagger} M_Q + \epsilon_{q_R}^{(1)\dagger} \Delta_{qQ}) \epsilon_{q_L}^{(1)\dagger} = \mathbf{0}. \end{aligned} \quad (\text{A13})$$

These $2 \times 3 \times N_Q$ conditions are just satisfied by the $2 \times 3 \times N_Q$ parameters contained in $\bar{\epsilon}_{q_L}$ and $\bar{\epsilon}_{q_R}$. Practically, in these conditions (A12) and (A13) the ordinary quark mixing matrices $V_{q_X}^{(1)}$ may be expressed in terms of the q - Q mixing matrices $\epsilon_{q_X}^{(1)}$ with Eq. (A9). Then, by performing some algebra we obtain the relations

$$\epsilon_{q_L}^{(1)} = (\Delta_{qQ}^{(1)\dagger} + M_q^\dagger \epsilon_{q_R}^{(1)} V_{Q_R}^{(1)-1}) (M_Q^\dagger + \delta_{Q_L}^\dagger)^{-1}, \quad (\text{A14})$$

$$\epsilon_{q_R}^{(1)} = (\Delta_{qQ} + M_q \epsilon_{q_L}^{(1)} V_{Q_L}^{(1)-1}) (M_Q + \delta_{Q_R})^{-1}, \quad (\text{A15})$$

where

$$\begin{aligned} \delta_{Q_L} &= V_{Q_R}^{(1)\dagger-1} \epsilon_{q_R}^{(1)\dagger} \Delta_{qQ} - \Delta'_{qQ} \epsilon_{q_L}^{(1)} A_{q_L}^\dagger \\ &\quad - V_{Q_R}^{(1)\dagger-1} \epsilon_{q_R}^{(1)\dagger} M_q \epsilon_{q_L}^{(1)} A_{q_L}^\dagger, \end{aligned} \quad (\text{A16})$$

$$\begin{aligned}\delta_{Q_R} = & -A_{q_R} \epsilon_{q_R}^{(1)\dagger} \Delta_{qQ} + \Delta'_{qQ} \epsilon_{q_L}^{(1)} V_{Q_L}^{(1)-1} \\ & - A_{q_R} \epsilon_{q_R}^{(1)\dagger} M_q \epsilon_{q_L}^{(1)} V_{Q_L}^{(1)-1}.\end{aligned}\quad (A17)$$

The effective quark mass matrices obtained at the first step are given by

$$\begin{aligned}M_q^{(1)} = & (V_{q_R}^{(1)\dagger} M_q - \epsilon_{q_R}^{(1)} \Delta'_{qQ}) V_{q_L}^{(1)} \\ & - (V_{q_R}^{(1)\dagger} \Delta_{qQ} - \epsilon_{q_R}^{(1)} M_Q) \epsilon_{q_L}^{(1)\dagger},\end{aligned}\quad (A18)$$

$$\begin{aligned}M_Q^{(1)} = & (V_{Q_R}^{(1)\dagger} M_Q + \epsilon_{q_R}^{(1)\dagger} \Delta_{qQ}) V_{Q_L}^{(1)} \\ & + (\epsilon_{q_R}^{(1)\dagger} M_q + V_{Q_R}^{(1)\dagger} \Delta'_{qQ}) \epsilon_{q_L}^{(1)}.\end{aligned}\quad (A19)$$

Here, $M_q^{(1)}$ for the ordinary quarks is, in particular, calculated by considering the relation $-(V_{q_R}^{(1)\dagger} \Delta_{qQ} - \epsilon_{q_R}^{(1)} M_Q) = (V_{q_R}^{(1)\dagger} M_q - \epsilon_{q_R}^{(1)} \Delta'_{qQ}) \epsilon_{q_L}^{(1)} V_{Q_L}^{(1)-1}$ from Eq. (A12) as

$$M_q^{(1)} = (V_{q_R}^{(1)\dagger} M_q - \epsilon_{q_R}^{(1)} \Delta'_{qQ}) (\mathbf{1} + R_q), \quad (A20)$$

where

$$R_q = \epsilon_{q_L}^{(1)} (A_{q_L} + V_{Q_L}^{(1)-1}) \epsilon_{q_L}^{(1)\dagger}. \quad (A21)$$

The effective mass matrices $M_q^{(1)}$ and $M_Q^{(1)}$ at the first step are generally nondiagonal. They are diagonalized at the second step as

$$V_{q_R}^{(2)\dagger} M_q^{(1)} V_{q_L}^{(2)} = \bar{M}_q, \quad (A22)$$

$$V_{Q_R}^{(2)\dagger} M_Q^{(1)} V_{Q_L}^{(2)} = \bar{M}_Q. \quad (A23)$$

This completes the diagonalization of \mathcal{M}_Q .

1. Basis (a) with $\Delta'_{qQ} = 0$

In the basis (a) with $\Delta'_{qQ} = 0$, the q - Q mixing is generated by the Δ_{qQ} term. Then, the q - Q mixing matrices at the first step are determined with Eqs. (A14) and (A15) as

$$(\epsilon_{q_L}^{(1)})_{ia} \sim (m_{q_i}/m_Q) \epsilon_i^f, \quad (A24)$$

$$(\epsilon_{q_R}^{(1)})_{ia} \sim \epsilon_i^f, \quad (A25)$$

where the relation $m_{q_i}^0 \sim m_{q_i}$, as seen later in Eq. (A38), is considered for $(M_q)_{ij} = m_{q_i}^0 \delta_{ij}$. We also obtain from Eq. (A9) with Eqs. (A24) and (A25)

$$(V_{q_L}^{(1)})_{ij} \sim \delta_{ij} + (m_{q_i}/m_Q)(m_{q_j}/m_Q) \epsilon_i^f \epsilon_j^f, \quad (A26)$$

$$(V_{q_R}^{(1)})_{ij} \sim \delta_{ij} + \epsilon_i^f \epsilon_j^f. \quad (A27)$$

The effective mass matrix $M_q^{(1)}$ for the ordinary quarks is given from Eq. (A20) with $\Delta'_{qQ} = 0$ as

$$M_q^{(1)} = V_{q_R}^{(1)\dagger} M_q (\mathbf{1} + R_q). \quad (A28)$$

The structure of R_q is specified in Eq. (A21) with Eq. (A24) as

$$(R_q)_{ij} \sim (m_{q_i}/m_Q)(m_{q_j}/m_Q) \epsilon_i^f \epsilon_j^f. \quad (A29)$$

It is suitable to modify $M_q^{(1)}$ as

$$M_q^{(1')} = V_{q_R}^{(2')\dagger} M_q^{(1)} = V_{q_R}^{(1')\dagger} M_q (\mathbf{1} + R_q) \quad (A30)$$

by deforming $V_{q_R}^{(1)}$ into a triangular form

$$V_{q_R}^{(1')} = V_{q_R}^{(1)} V_{q_R}^{(2')} \sim \begin{pmatrix} 1 & \epsilon_1^f \epsilon_2^f & \epsilon_1^f \epsilon_3^f \\ 0 & 1 & \epsilon_2^f \epsilon_3^f \\ 0 & 0 & 1 \end{pmatrix}. \quad (A31)$$

Here, the relevant unitary transformation is given as

$$(V_{q_R}^{(2')})_{ij} \sim \delta_{ij} + \epsilon_i^f \epsilon_j^f. \quad (A32)$$

Then, by considering the hierarchy $m_{q_1} \ll m_{q_2} \ll m_{q_3} \leq m_Q$, we obtain the relations

$$(M_q^{(1')})_{ij} \sim \delta_{ij} m_{q_i}^2 + m_{q_i} m_{q_j} \epsilon_i^f \epsilon_j^f, \quad (A33)$$

$$(M_q^{(1')})_{ij} \sim \delta_{ij} m_{q_i}^2 + \theta_{ij}^k m_{q_i}^2 \epsilon_i^f \epsilon_j^f, \quad (A34)$$

where

$$\theta_{ij}^k = \begin{cases} 1 & (k=i \text{ for } i < j, \text{ } k=j \text{ for } i > j), \\ 0 & (\text{otherwise}). \end{cases}$$

The effective mass matrix $M_q^{(1')}$ and its squared ones (A33) and (A34) are diagonalized by the unitary transformations

$$(V_{q_L}^{(2)})_{ij} \sim \delta_{ij} + \frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^f \epsilon_j^f, \quad (A35)$$

$$(V_{q_R}^{(2'')})_{ij} \sim \delta_{ij} + \frac{m_{q_j}^2}{m_{q_i}^2 + m_{q_j}^2} \epsilon_i^f \epsilon_j^f. \quad (A36)$$

The second step transformation of the right-handed ordinary quarks is then given by

$$V_{q_R}^{(2)} = V_{q_R}^{(2')} V_{q_R}^{(2'')}. \quad (A37)$$

The ordinary quark masses are obtained as

$$m_{q_i} = (\lambda_{q_i} v / \sqrt{2}) [1 + \xi_{q_i}(\epsilon^f)(\epsilon_i^f)^2] \quad (A38)$$

with certain factors $\xi_{q_i}(\epsilon^f) \sim 1$, which are in fact $-1/2$ in the leading order for $N_Q = 1$.

The net effects on the quark mixings involving the ordinary quarks are calculated in Eqs. (A4) and (A5) as

$$\epsilon_{q_\chi}, \epsilon'_{q_\chi} \sim \epsilon_{q_\chi}^{(1)} \quad (\chi=L,R), \quad (A39)$$

$$V_{q_L} \sim V_{q_L}^{(2)}, \quad V_{q_R} \sim V_{q_R}^{(2'')}. \quad (A40)$$

The symbol \sim henceforth indicates that the mixing matrices have the same structure with respect to the ordinary quark flavors. These relations are justified as follows. The left-handed q - Q mixing matrix ϵ_{q_L} is calculated as $(\epsilon_{q_L})_{ia} = (\epsilon_{q_L}^{(1)})_{ib} (V_{Q_\chi}^{(2)})_{ba} \sim (m_{q_i}/m_Q) \epsilon_i^f$ with $(V_{Q_\chi}^{(2)})_{ba} \lesssim 1$. The calculation of ϵ'_{q_L} is made as

$$(\epsilon'_{q_L})_{ia} = (V_{q_L}^{(2)\dagger})_{ii} (\epsilon_{q_L}^{(1)})_{ia} + \sum_{j \neq i} (V_{q_L}^{(2)\dagger})_{ij} (\epsilon_{q_L}^{(1)})_{ja}.$$

The first term is of the order of $(m_{q_i}/m_Q) \epsilon_i^f$ for $(V_{q_L}^{(2)\dagger})_{ii} \sim 1$. The second term is estimated as $(m_{q_i}/m_Q) \epsilon_i^f (\epsilon_i^f)^2$ by using Eqs. (A24) and (A25) and the relation

$$\frac{m_{q_i} m_{q_j}}{m_{q_i}^2 + m_{q_j}^2} m_{q_j} < m_{q_i}.$$

It is in fact smaller than the first term. Similar calculations are made for the right-handed q - Q mixing matrices ϵ_{q_R} and ϵ'_{q_R} . For the left-handed ordinary quark mixing, the relation $(V_{q_L})_{ij} \sim (V_{q_L}^{(2)})_{ij}$ is verified by considering the inequality $(V_{q_L}^{(1)})_{ik} (V_{q_L}^{(2)})_{kj} \lesssim (V_{q_L}^{(1)})_{ij} \lesssim (V_{q_L}^{(2)})_{ij}$ ($k \neq i, j$) for $m_{q_i} \lesssim m_Q$. The right-handed ordinary quark mixing is estimated with the relation

$$V_{q_R} = V_{q_R}^{(1)} V_{q_R}^{(2)} = V_{q_R}^{(1')} V_{q_R}^{(2'')}, \quad (A41)$$

where Eqs. (A31) and (A37) are considered in the second equality. By using the specific forms (A31) and (A36) for $V_{q_R}^{(1')}$ and $V_{q_R}^{(2'')}$, we find the relation $(V_{q_R})_{ij} \sim (V_{q_R}^{(2'')})_{ij}$ under the quark mass hierarchy $m_{q_1} \ll m_{q_2} \ll m_{q_3} \lesssim m_Q$.

2. Basis (b) with $\Delta_{qQ} = 0$

The diagonalization of \mathcal{M}_Q in the basis (b) with $\Delta_{qQ} = 0$ is practically reduced to what is made in the basis (a) with $\Delta'_{qQ} = 0$. The hermite conjugate of \mathcal{M}_Q with $\Delta_{qQ} = 0$ is given by

$$\mathcal{M}_Q^\dagger = \begin{pmatrix} M_q^\dagger & \Delta'_{qQ}^\dagger \\ \mathbf{0} & M_Q^\dagger \end{pmatrix}. \quad (A42)$$

This matrix has the same form as \mathcal{M}_Q with $\Delta'_{qQ} = 0$ by replacing the submatrices as

$$\begin{aligned} M_q &\rightarrow M_q^\dagger, & M_Q &\rightarrow M_Q^\dagger, \\ \Delta'_{qQ} = \mathbf{0} &\rightarrow \Delta_{qQ}^\dagger = \mathbf{0}, & \Delta_{qQ} &\rightarrow \Delta'_{qQ}^\dagger. \end{aligned} \quad (A43)$$

Then, we have the hermite conjugate of Eq. (3.1) as

$$\mathcal{V}_{Q_L}^\dagger \mathcal{M}_Q^\dagger \mathcal{V}_{Q_R} = \begin{pmatrix} \bar{M}_q & \mathbf{0} \\ \mathbf{0} & \bar{M}_Q \end{pmatrix}. \quad (A44)$$

Hence, the relations obtained for the basis (a) with $\Delta'_{qQ} = 0$ are also applicable to the present basis (b) with $\Delta_{qQ} = 0$ by taking the substitution (A43). The q - Q mixing parameters are then replaced as

$$\epsilon_i^f \rightarrow \epsilon_i^h. \quad (A45)$$

The quark mixing matrices are exchanged as

$$\mathcal{V}_{Q_L} \leftrightarrow \mathcal{V}_{Q_R}, \quad (A46)$$

i.e.,

$$\begin{aligned} V_{q_L} &\leftrightarrow V_{q_R}, & V_{Q_L} &\leftrightarrow V_{Q_R}, \\ \epsilon_{q_L} &\leftrightarrow \epsilon_{q_R}, & \epsilon'_{q_L} &\leftrightarrow \epsilon'_{q_R}. \end{aligned} \quad (A47)$$

The ordinary quark masses are given by

$$m_{q_i} = (\lambda_{q_i} v / \sqrt{2}) [1 + \xi'_{q_i} (\epsilon^h) (\epsilon_i^h)^2] \quad (A48)$$

with certain factors $\xi'_{q_i} (\epsilon^f) \sim 1$, which are $-1/2$ in the leading order for $N_Q = 1$.

3. Seesaw model

In the seesaw model, the q - Q mixing matrices at the first step are obtained from Eqs. (A14) and (A15) with $M_q = 0$ as

$$\epsilon_{q_L}^{(1)} = \Delta'_{qQ} (M_Q^\dagger + \delta_{Q_L}^\dagger)^{-1}, \quad (A49)$$

$$\epsilon_{q_R}^{(1)} = \Delta_{qQ} (M_Q + \delta_{Q_R})^{-1}. \quad (A50)$$

Then, we obtain from Eq. (A20)

$$M_q^{(1)} = -\Delta_{qQ} (M_Q + \delta_{Q_R})^{-1} \Delta'_{qQ}, \quad (A51)$$

where

$$\begin{aligned} \delta_Q &= (C_Q \epsilon_{q_R}^{(1)\dagger} \Delta_{qQ} - \delta_{Q_L}) [1 + \mathcal{E}_Q \epsilon_{q_R}^{(1)\dagger} \Delta_{qQ}]^{-1} \\ &\sim \Delta'_{qQ} \epsilon_{q_L}^{(1)} + \epsilon_{q_R}^{(1)\dagger} \Delta_{qQ} \end{aligned} \quad (A52)$$

with

$$C_Q = M_Q (M_Q + \delta_{Q_R})^{-1} (V_{Q_R}^{(1)\dagger} - A_{q_R}), \quad (A53)$$

$$\mathcal{E}_Q = (M_Q + \delta_{Q_R})^{-1} (V_{Q_R}^{(1)\dagger} - A_{q_R}). \quad (A54)$$

Now suppose that all the singlet quarks have comparable masses, $m_{Q_1}, m_{Q_2}, m_{Q_3} \sim m_Q$. Then, we obtain from Eqs. (A49) and (A50)

$$(\epsilon_{q_L}^{(1)})_{ia} \sim \epsilon_i^h, \quad (A55)$$

$$(\epsilon_{q_R}^{(1)})_{ia} \sim \epsilon_i^f, \quad (\text{A56})$$

$$(V_{q_L}^{(1)})_{ij} \sim \delta_{ij} + \epsilon_i^h \epsilon_j^h, \quad (\text{A57})$$

$$(V_{q_R}^{(1)})_{ij} \sim \delta_{ij} + \epsilon_i^f \epsilon_j^f. \quad (\text{A58})$$

We can also see from Eq. (A51) that the effective quark mass matrix has a specific flavor structure

$$(M_q^{(1)})_{ij} \sim \epsilon_i^f \epsilon_j^h m_Q. \quad (\text{A59})$$

(The quark mass matrix with this type of flavor structure is also considered in the context of standard model with multiple Higgs doublets by assuming the hierarchy in the Yukawa couplings [17].) The unitary matrices to diagonalize $M_q^{(1)}$ are given as

$$(V_{q_L}^{(2)})_{ij} \sim \delta_{ij} + \frac{\epsilon_i^h \epsilon_j^h}{(\epsilon_i^h)^2 + (\epsilon_j^h)^2}, \quad (\text{A60})$$

$$(V_{q_R}^{(2)})_{ij} \sim \delta_{ij} + \frac{\epsilon_i^f \epsilon_j^f}{(\epsilon_i^f)^2 + (\epsilon_j^f)^2}. \quad (\text{A61})$$

Then, the net effects on the quark mixings are calculated as

$$\epsilon_{q_\chi}, \epsilon'_{q_\chi} \sim \epsilon_{q_\chi}^{(1)} \quad (\chi = L, R), \quad (\text{A62})$$

$$V_{q_\chi} \sim V_{q_\chi}^{(2)} \quad (\chi = L, R). \quad (\text{A63})$$

Here, the inequality $(V_{q_\chi}^{(1)})_{ik} (V_{q_\chi}^{(2)})_{kj} \lesssim (V_{q_\chi}^{(1)})_{ij} \lesssim (V_{q_\chi}^{(2)})_{ij}$ ($k \neq i, j$) for $\epsilon_i^f, \epsilon_i^h \lesssim 1$ is considered. The ordinary quark masses are obtained as

$$m_{q_i} \sim \epsilon_i^f \epsilon_i^h m_Q. \quad (\text{A64})$$

We next consider the case with inverted hierarchy for the singlet quark masses, $m_{Q_1} \gg m_{Q_2} \gg m_{Q_3}$ [11]. The q - Q mixing terms are assumed to have no significant flavor dependence, i.e., $(\Delta_{qQ})_{ia} \sim \bar{f}v_S$ and $(\Delta'_{qQ})_{ai} \sim \bar{h}v$. We may start with

$$M_Q = \text{diag}(m_{Q_1}^0, m_{Q_2}^0, m_{Q_3}^0) \quad (\text{A65})$$

by using suitable transformations of singlet quarks. The singlet quark masses are provided dominantly by this M_Q term for $m_{Q_a}^0 \gg \bar{f}v_S, \bar{h}v$:

$$m_{Q_a} \simeq m_{Q_a}^0. \quad (\text{A66})$$

(This relation is replaced by that of $m_T \sim \bar{f}v_S$ for the singlet quark $T \equiv U_3$ with $m_T^0 \ll \bar{f}v_S$ [11].) It is also suitable to deform the q - Q mixing terms to triangular forms by the ordinary quark transformations, without modifying $M_q = \mathbf{0}$ and M_Q :

$$\Delta_{qQ} = \bar{f}v_S \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{pmatrix}, \quad (\text{A67})$$

$$\Delta'_{qQ} = \bar{h}v \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}, \quad (\text{A68})$$

where “1” denotes the factors of $O(1)$.

The effective quark mass matrix $M_q^{(1)}$ given in Eq. (A51) is evaluated as follows. We first note the identity with the diagonal M_Q ,

$$(M_Q + \delta_Q)^{-1} = m_{Q_a}^{0-1} \delta_{ab} - m_{Q_a}^{0-1} [\delta_Q (\mathbf{1} + M_Q^{-1} \delta_Q)^{-1}]_{ab} m_{Q_b}^{0-1}, \quad (\text{A69})$$

where, as seen from Eq. (A52) with $\epsilon_{q_\chi}^{(1)} \lesssim 1$ and Eqs. (A67) and (A68),

$$|[\delta_Q (\mathbf{1} + M_Q^{-1} \delta_Q)^{-1}]_{ab}| \lesssim \bar{f}v_S + \bar{h}v. \quad (\text{A70})$$

Then, by applying Eqs. (A67), (A68), and (A69) for Eq. (A51), the effective quark mass matrix $M_q^{(1)}$ is evaluated in terms of $m_{Q_a}^{-1} \simeq m_{Q_a}^{0-1}$ as

$$M_q^{(1)} \sim (\bar{h}v)(\bar{f}v_S) \begin{pmatrix} m_{Q_1}^{-1} & m_{Q_1}^{-1} & m_{Q_1}^{-1} \\ m_{Q_1}^{-1} & m_{Q_2}^{-1} & m_{Q_2}^{-1} \\ m_{Q_1}^{-1} & m_{Q_2}^{-1} & m_{Q_3}^{-1} \end{pmatrix}. \quad (\text{A71})$$

The second term in the right-hand side of Eq. (A69) actually provides subleading contributions as $(\bar{h}v)(\bar{f}v_S)^2 m_{Q_i}^{-1} m_{Q_j}^{-1}$. These corrections, however, do not alter the structure (A71) of $M_q^{(1)}$ for $\bar{f}v_S/m_{Q_i} \lesssim 1$. This specific form of $M_q^{(1)}$ provides the ordinary quark masses,

$$m_{q_i} \sim (\bar{f}v_S/m_{Q_i}) \bar{h}v. \quad (\text{A72})$$

The first step mixings are obtained from Eqs. (A49) and (A50) by using Eqs. (A67) and (A68) for Δ_{qQ} and Δ'_{qQ} and the relation similar to Eq. (A69):

$$(\epsilon_{q_L}^{(1)})_{ia} \sim \bar{h}v/m_{Q_i}, \quad (\text{A73})$$

$$(\epsilon_{q_R}^{(1)})_{ia} \sim \bar{f}v_S/m_{Q_i}, \quad (\text{A74})$$

$$(V_{q_L}^{(1)})_{ij} \sim \delta_{ij} + \frac{(\bar{h}v)^2}{m_{Q_i} m_{Q_j}}, \quad (\text{A75})$$

$$(V_{q_R}^{(1)})_{ij} \sim \delta_{ij} + \frac{(\bar{f}v_S)^2}{m_{Q_i} m_{Q_j}}. \quad (\text{A76})$$

The unitary transformations to diagonalize $M_q^{(1)}$ of Eq. (A71) are given by

$$(V_{q_L}^{(2)})_{ij}, (V_{q_R}^{(2)})_{ij} \sim \delta_{ij} + \frac{m_{Q_i}^{-1} m_{Q_j}^{-1}}{m_{Q_i}^{-2} + m_{Q_j}^{-2}}. \quad (\text{A77})$$

It is here noticed that these relations (A73)–(A77) for the quark mixings are apparently reproduced from Eqs. (A55)–(A61) for the case of $m_{Q_1}, m_{Q_2}, m_{Q_3} \sim m_Q$ by taking the substitution

$$\epsilon_i^h \rightarrow \bar{h}v/m_{Q_i}, \quad \epsilon_i^f \rightarrow \bar{f}v_S/m_{Q_i}. \quad (\text{A78})$$

Hence, even in this case of $m_{Q_1} \ll m_{Q_2} \ll m_{Q_3}$, the net effects on the quark mixings are given as Eqs. (A62) and (A63). It is interesting that these quark mixings can be expressed in terms of the ordinary quark masses by using the mass formula (A72).

Some remarks should finally be presented in order. As for the t and T quarks with $(M_U)_{33} = m_T^0 \ll \bar{f}v_S$, the above arguments are still valid to obtain $m_t \sim \bar{h}v$ and $m_T \sim \bar{f}v_S$. This can be understood by modifying the mass matrix in Eq. (A69) as $M_U + \delta_U = \tilde{M}_U + \tilde{\delta}_U$ with $(\tilde{M}_U)_{33} = (M_U)_{33} + (\delta_U)_{33} \sim \bar{f}v_S$. In the general quark basis for the seesaw model, the Δ_{qQ} and Δ'_{qQ} terms do not have the triangular forms (A67) and (A68). Then, the following substitution should be made in the above relations for the quark mixings:

$$\epsilon_{q_X} \rightarrow \tilde{V}_{q_X}^\dagger \epsilon_{q_X}, \quad V_{q_X} \rightarrow \tilde{V}_{q_X}^\dagger V_{q_X}. \quad (\text{A79})$$

Here, $\tilde{V}_{q_R}^\dagger \Delta_{qQ}$ and $\Delta'_{qQ} \tilde{V}_{q_L}$ become the triangular forms (A67) and (A68) by suitably choosing the unitary transformations \tilde{V}_{q_X} .

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